

Extremely Deep Proofs

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- Several other size/space tradeoffs for various proof systems [R17,BN20,R18]

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- Resolution — **Focus on for today**
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Given an unsatisfiable CNF formula F as a set of clauses

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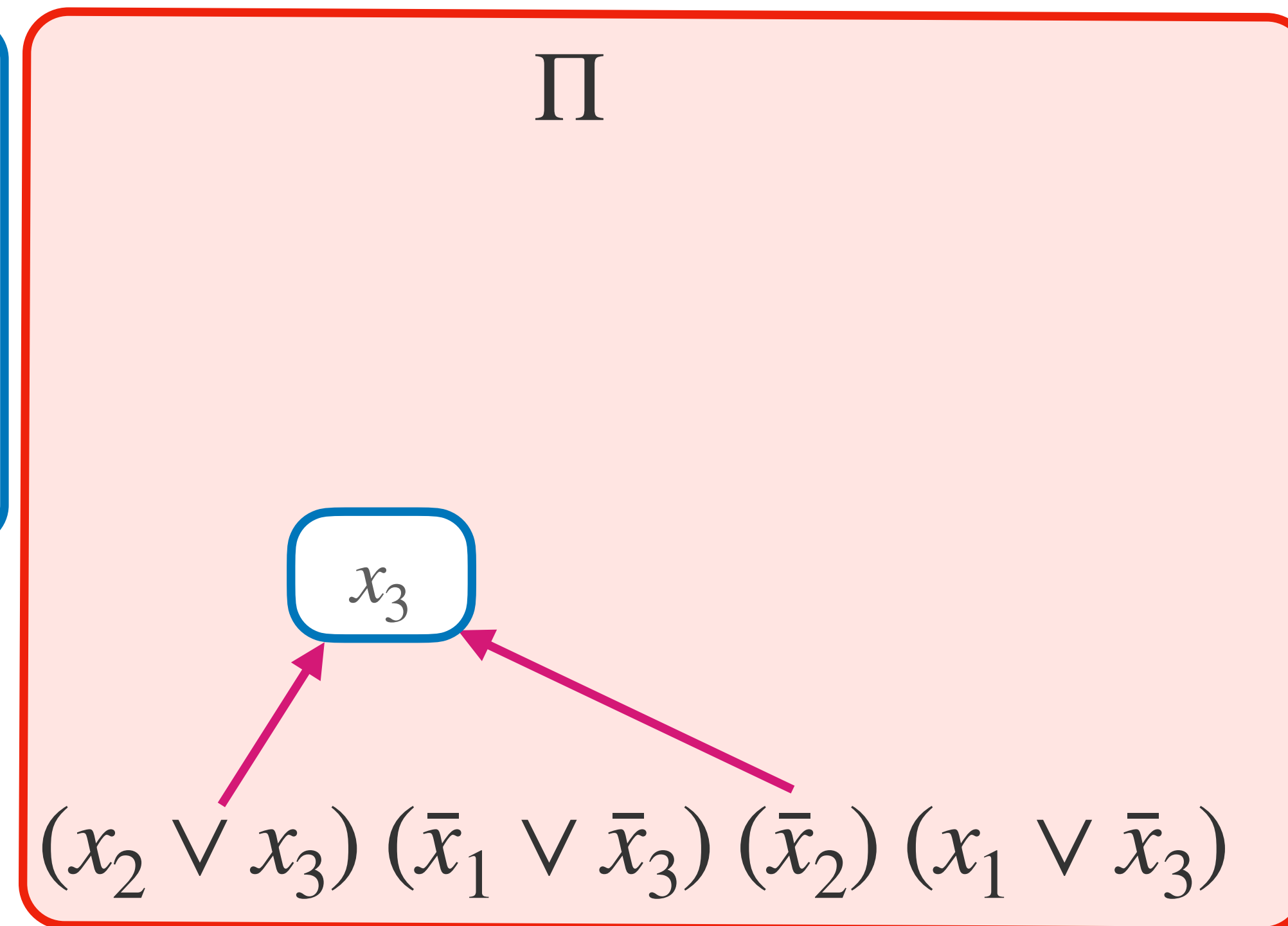
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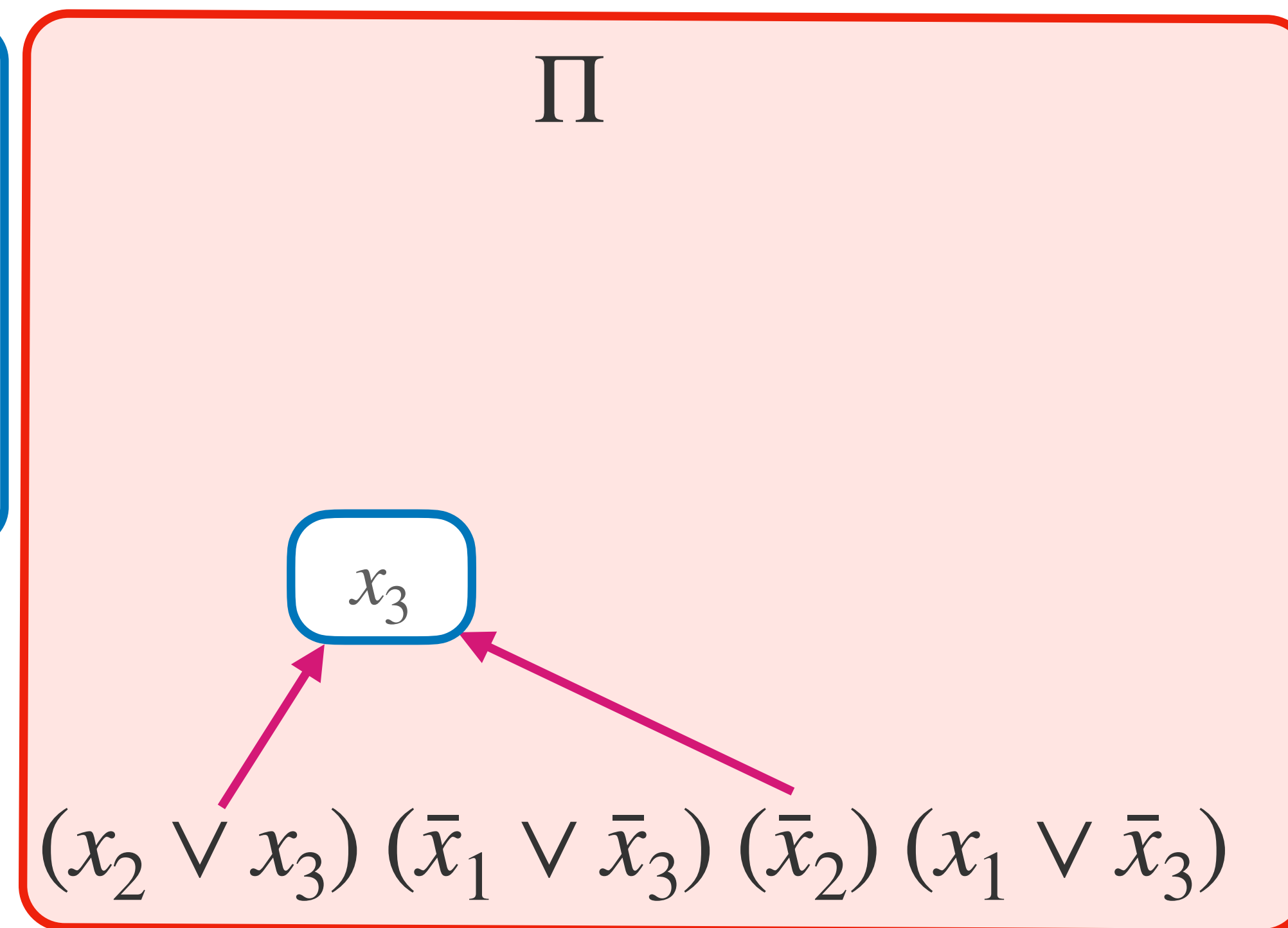
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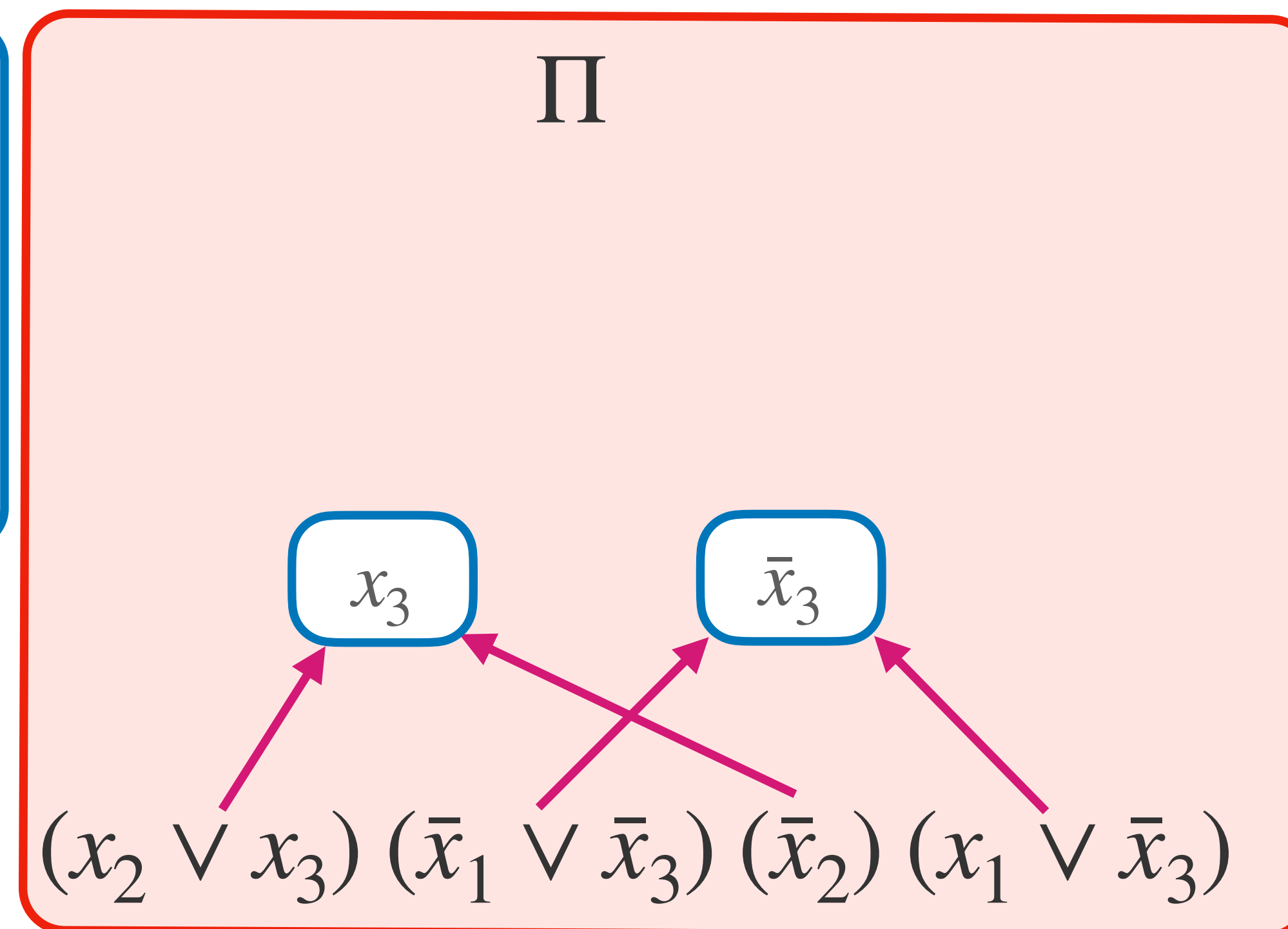
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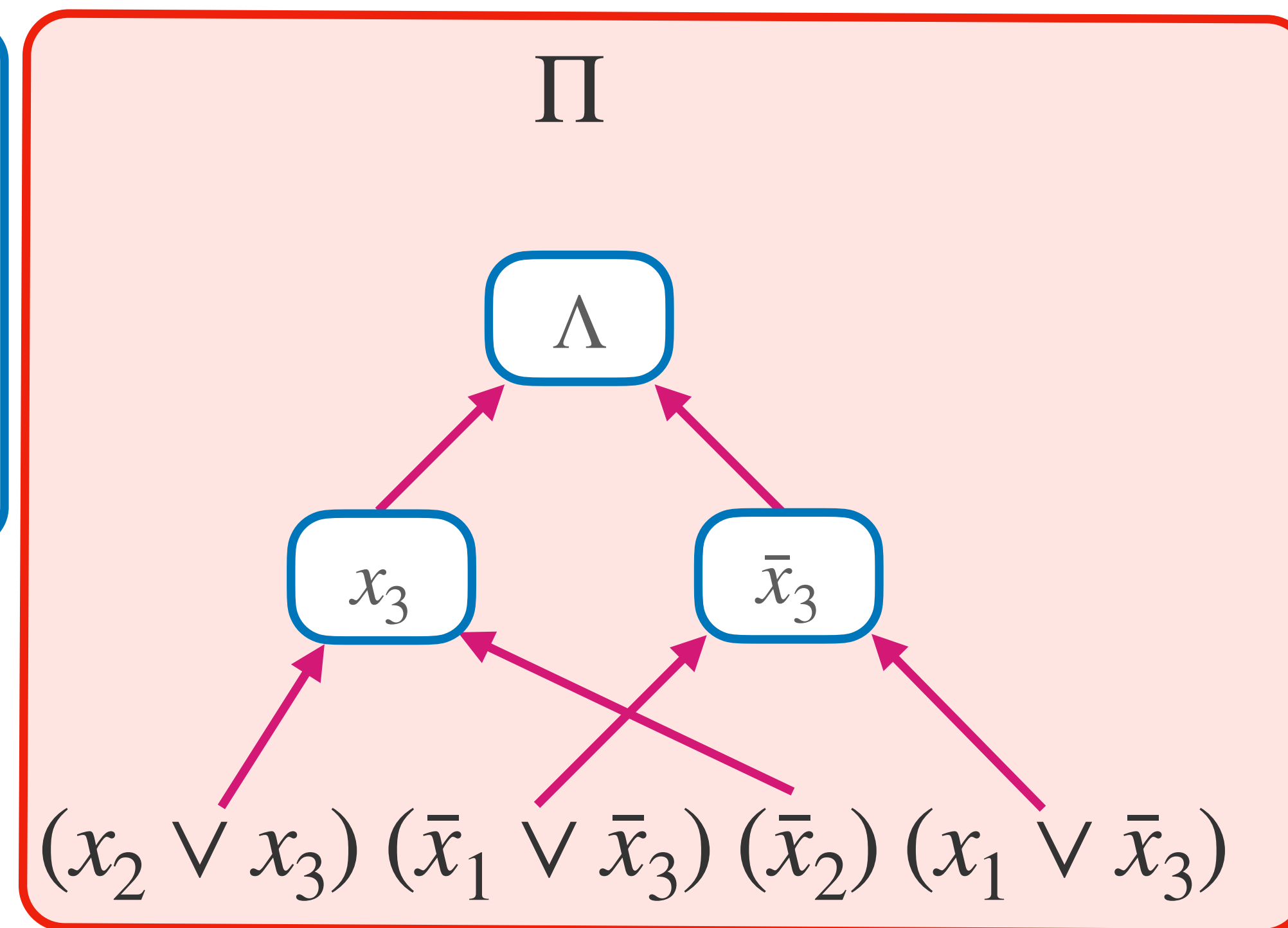
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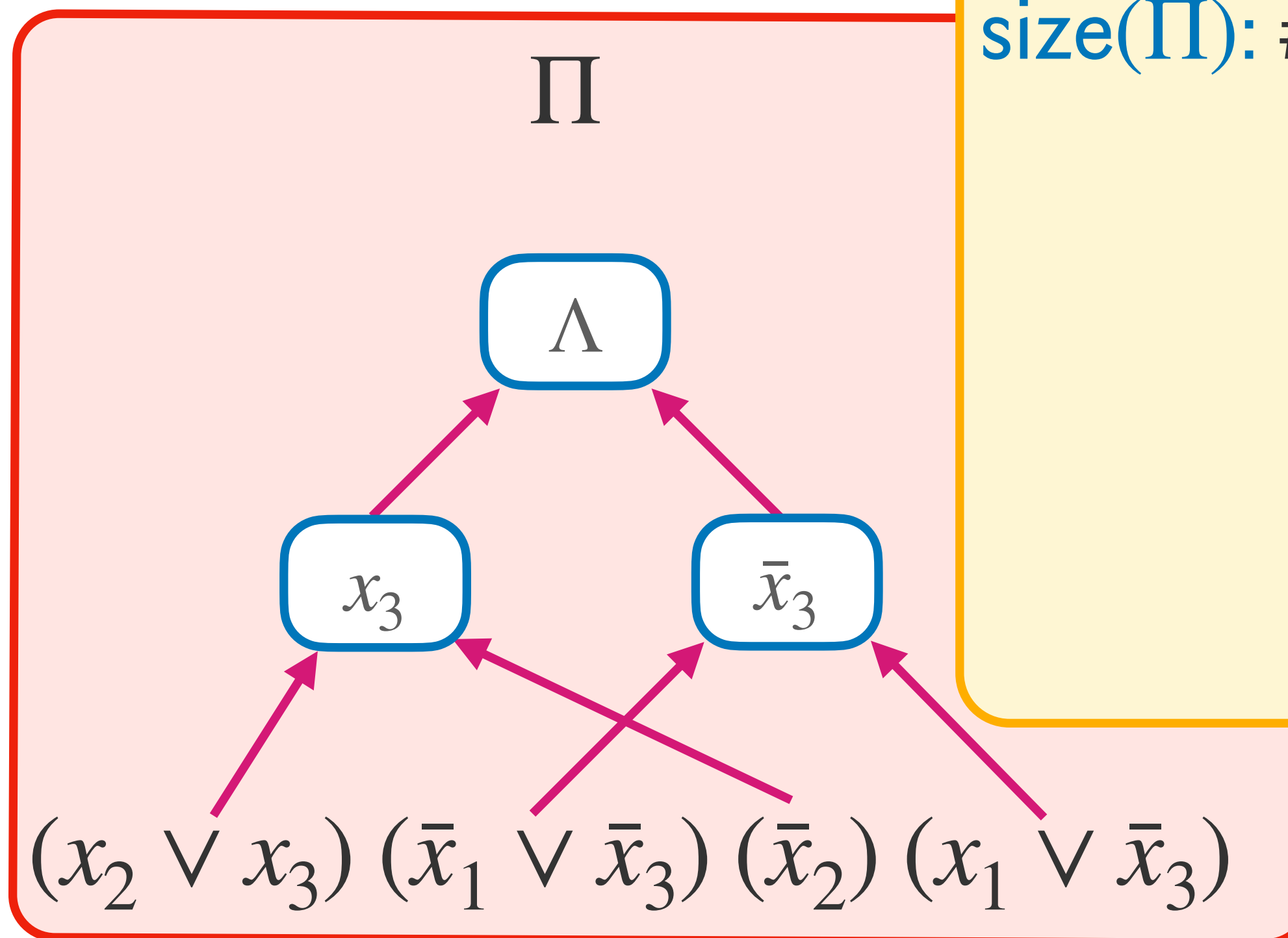
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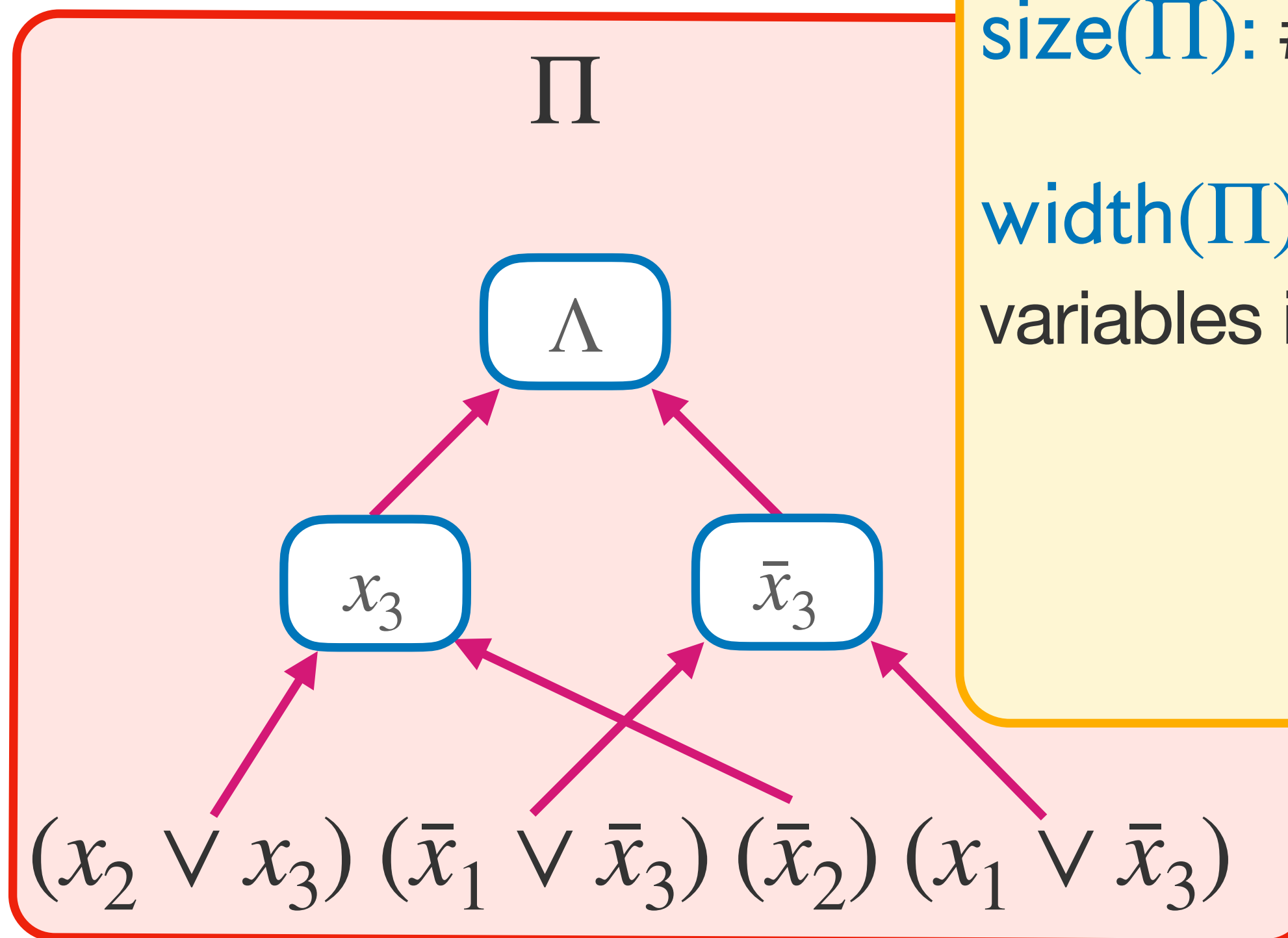
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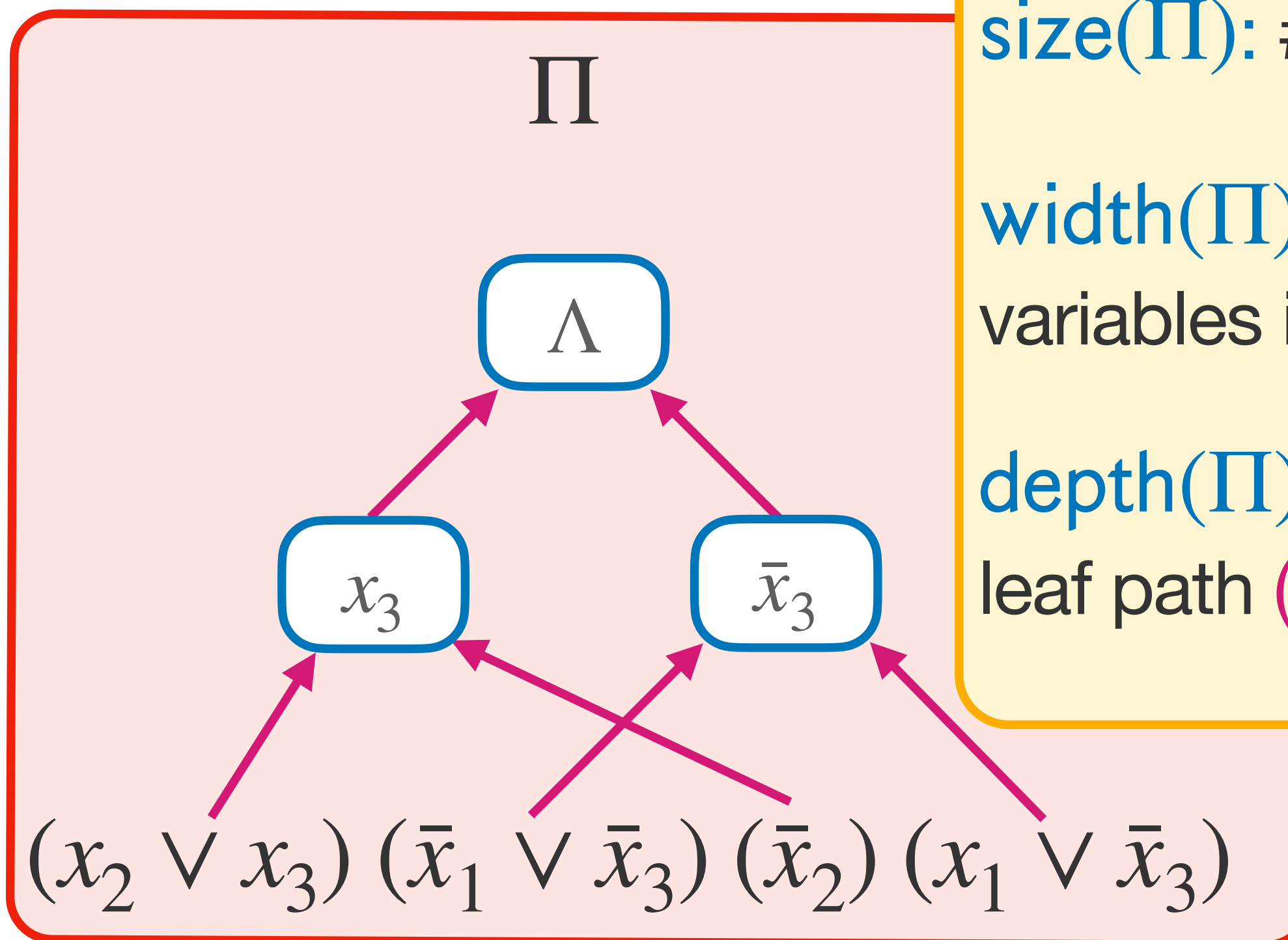
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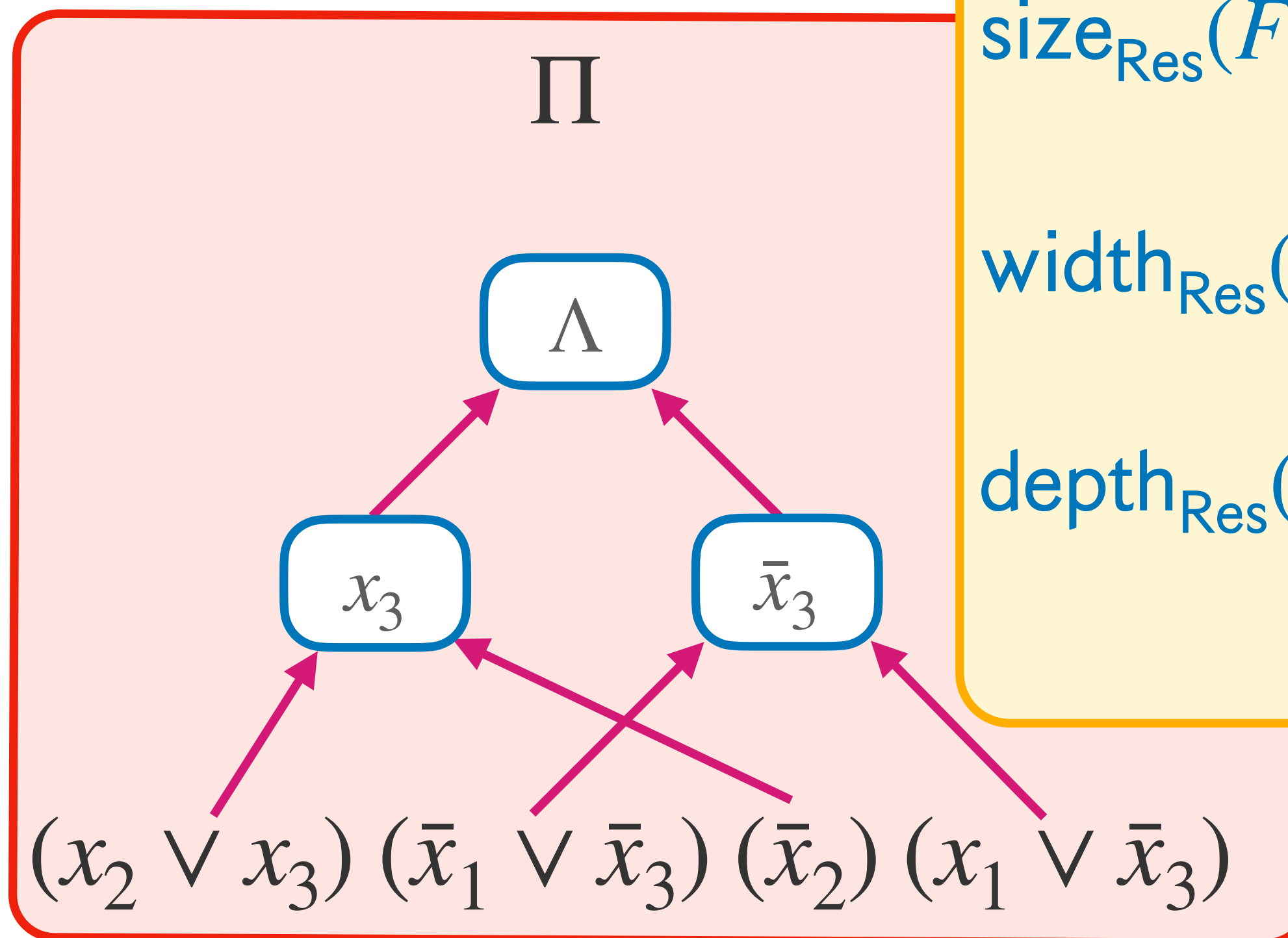
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- Depth lower bounds parallelizability

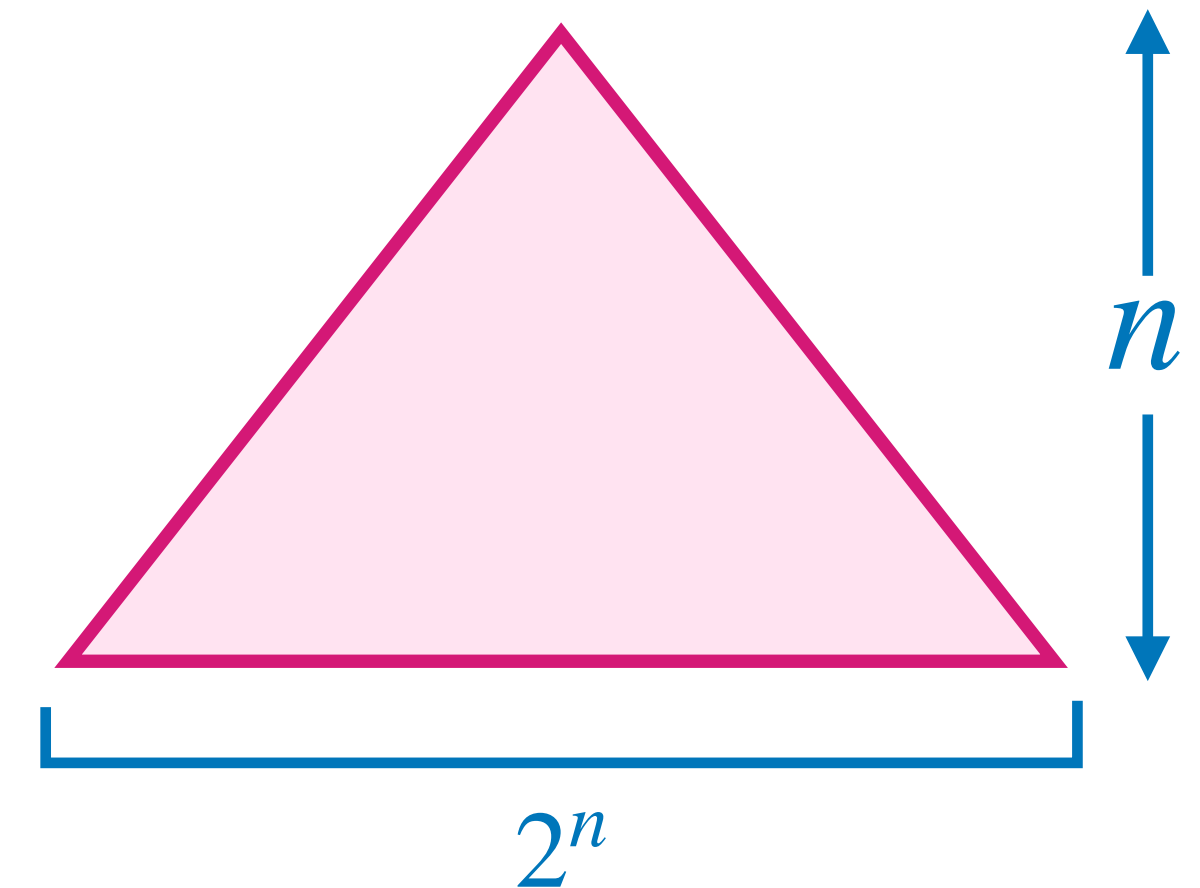
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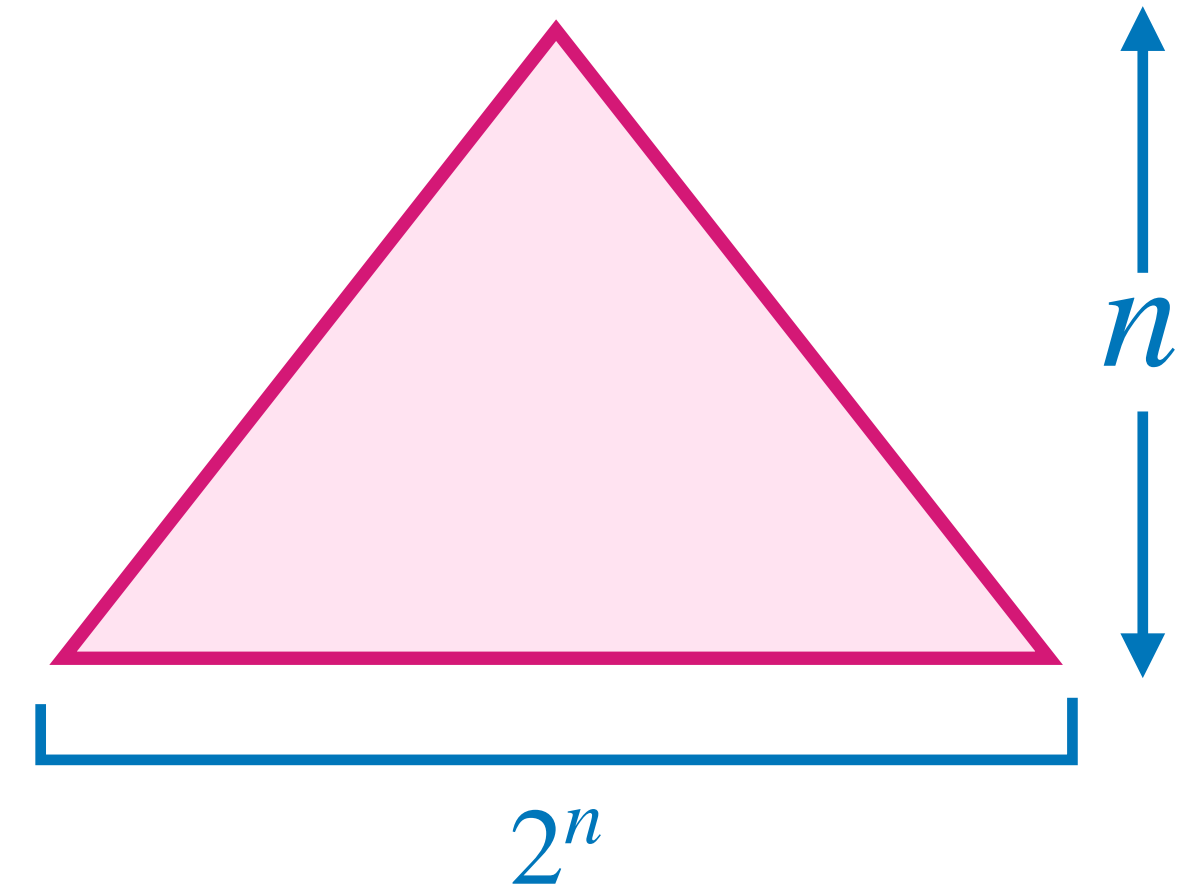
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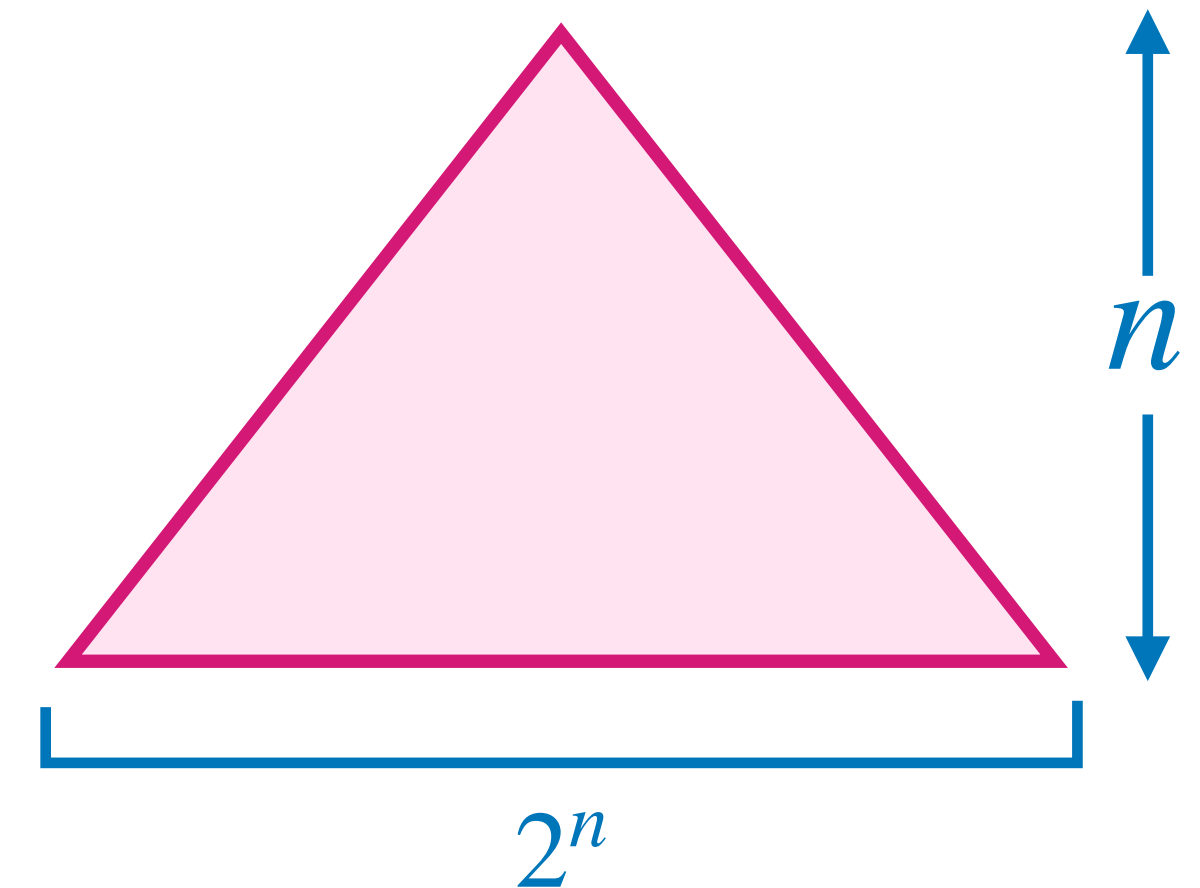
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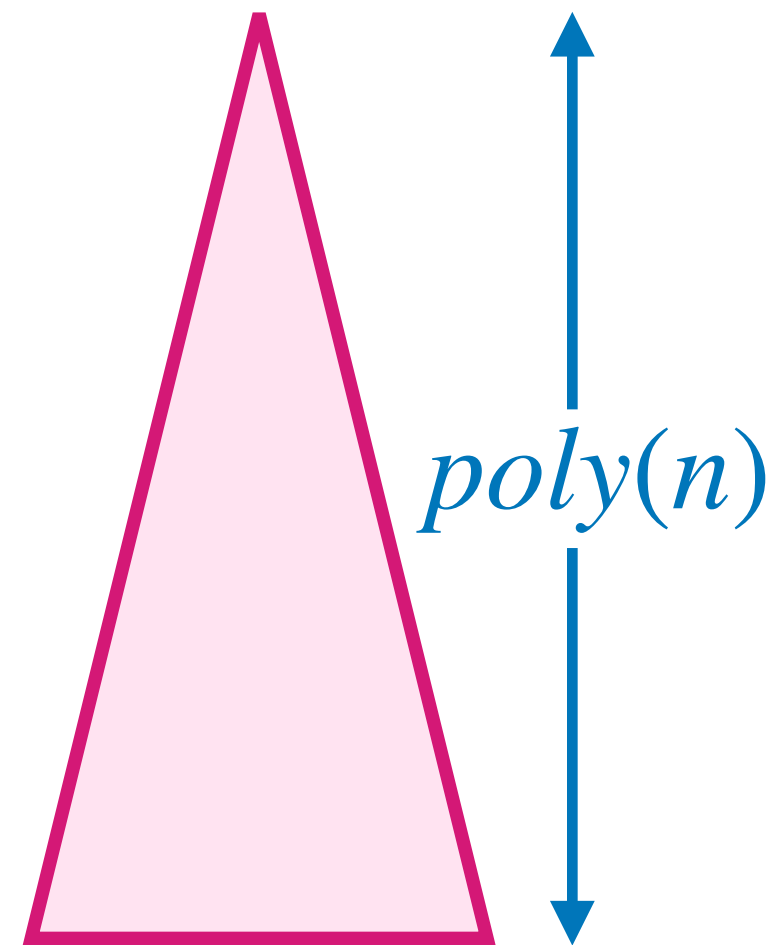
- Resolution (Res(k), Cutting Planes) cannot always be balanced

This Work

For any $P \in \{ \text{Resolution, Res}(k), \text{Cutting Planes} \}$

There is a CNF formula F on n variables such that

- There is a polynomial size P -proof of F
- Any subexponential-size P -proof of F must have $\text{poly}(n) > n$ depth

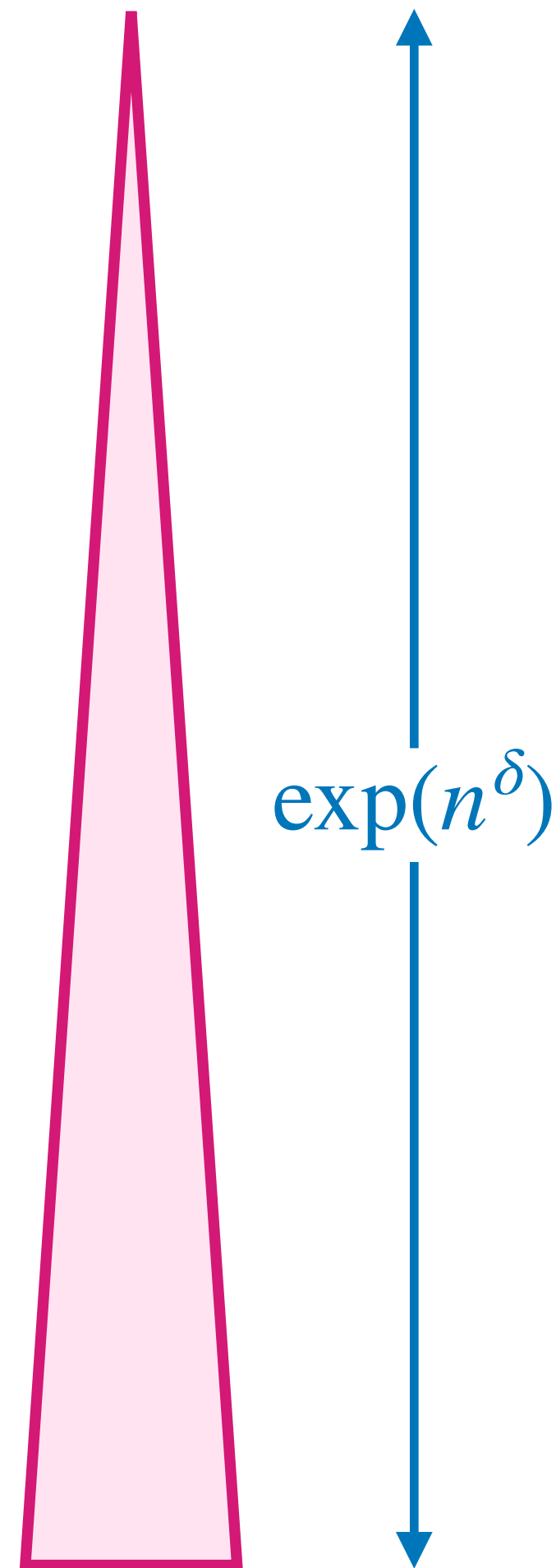


This Work

For any $P \in \{\text{Resolution, Res}(k), \text{Cutting Planes}\}$

There is a CNF formula F on n variables such that

- There is a weakly exponential size P -proof of F
- Any subexponential-size P -proof of F must have weakly exponential depth



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Let $\varepsilon > 0$, let $c \geq 1$ be real-valued parameter that will control our tradeoff

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* Caveat: F has $n^{O(c)}$ many clauses — we'll come back to this!

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Let P, Q be two proof systems

A lifting theorem relates the complexity of

- P -proofs of F
- Q -proofs of $F \circ g$

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⇒ Naively simulation is essentially the best! (A theme of lifting theorems)

Lifting (Composition)

Typically

- P is a “weak” proof system
- Q is a “strong” proof system

A lifting theorem shows that the most efficient Q -proof of $F \circ g$ is to simulate the most efficient P -proof of F (with some extra overhead to handle g)

Our Lifting

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Find a gadget g such that

1. The number of variables n of $F \circ g$ will be **much** smaller than N
2. Any **small-size** Resolution proof of $F \circ g$ will require the same depth as proving F

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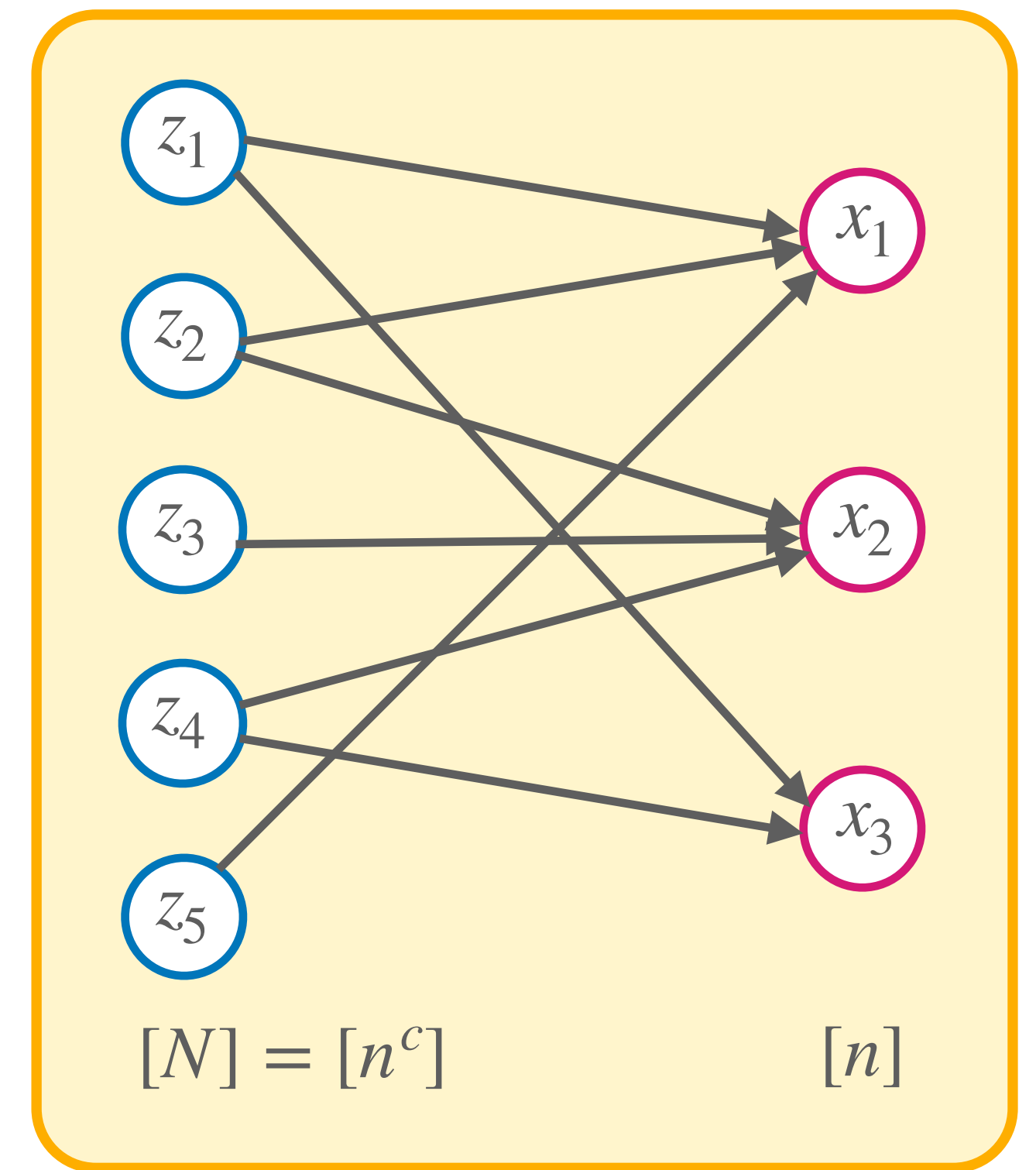
→ Composing will reduce the **total** number of variables to $n \ll N$

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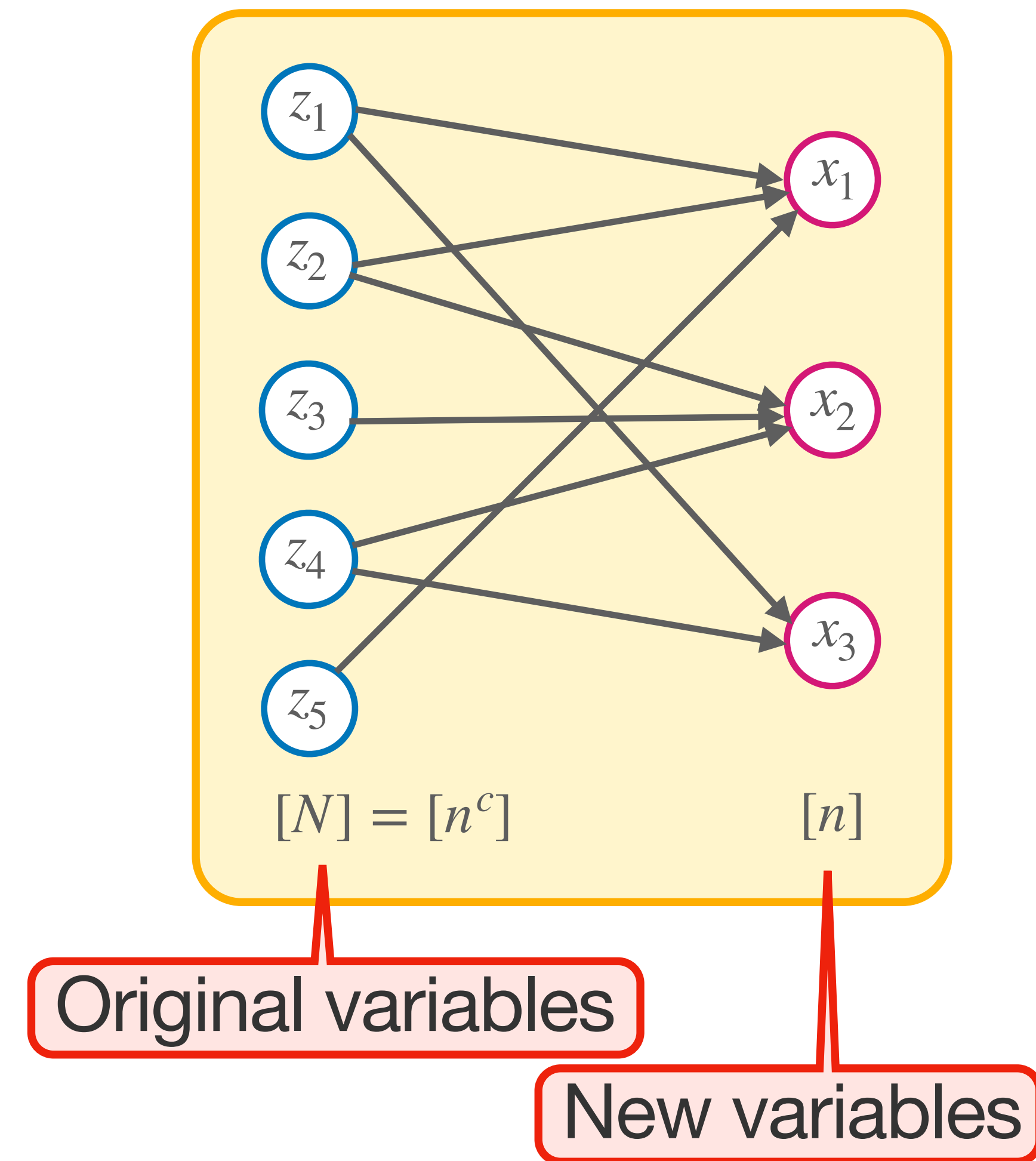
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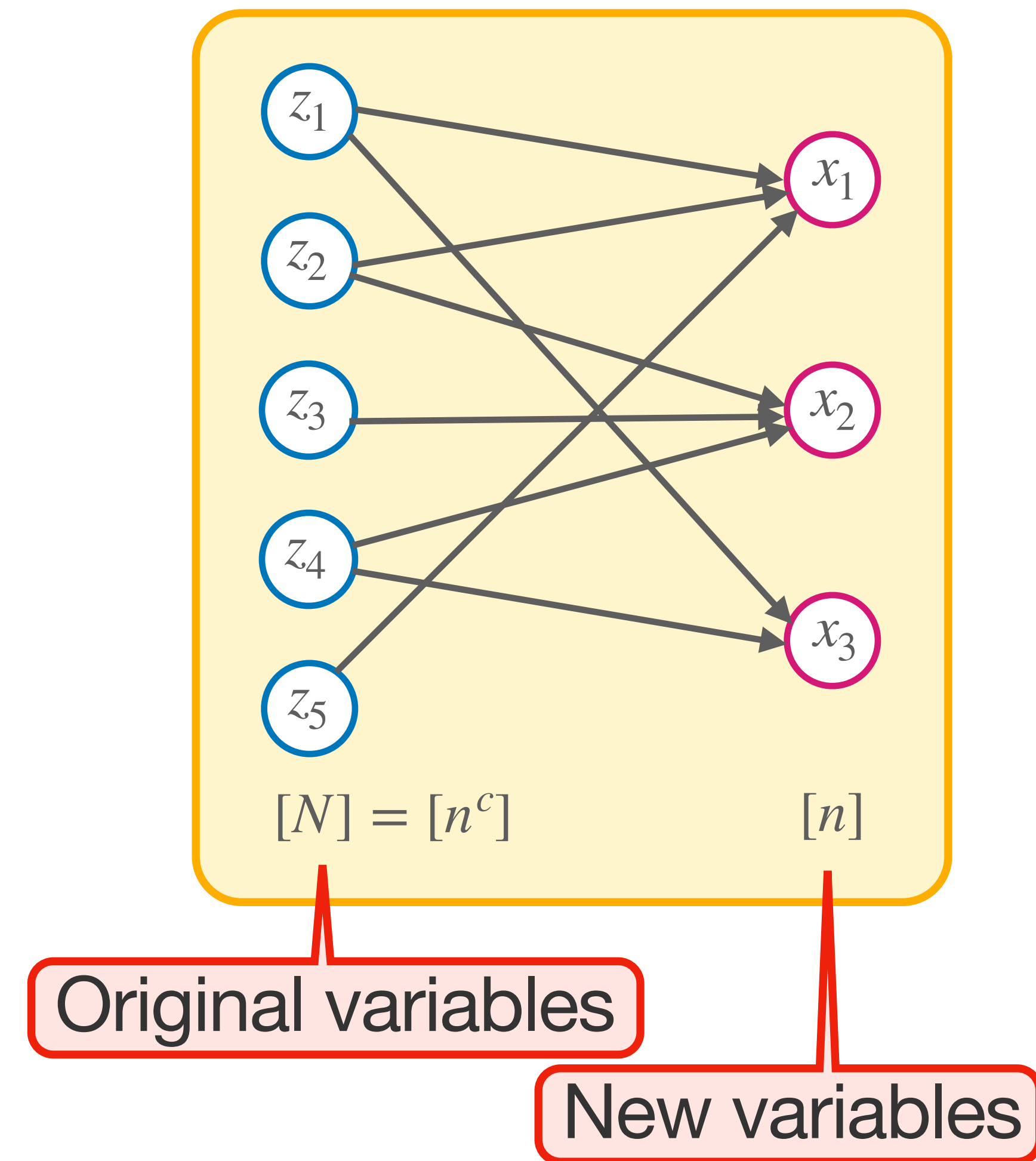
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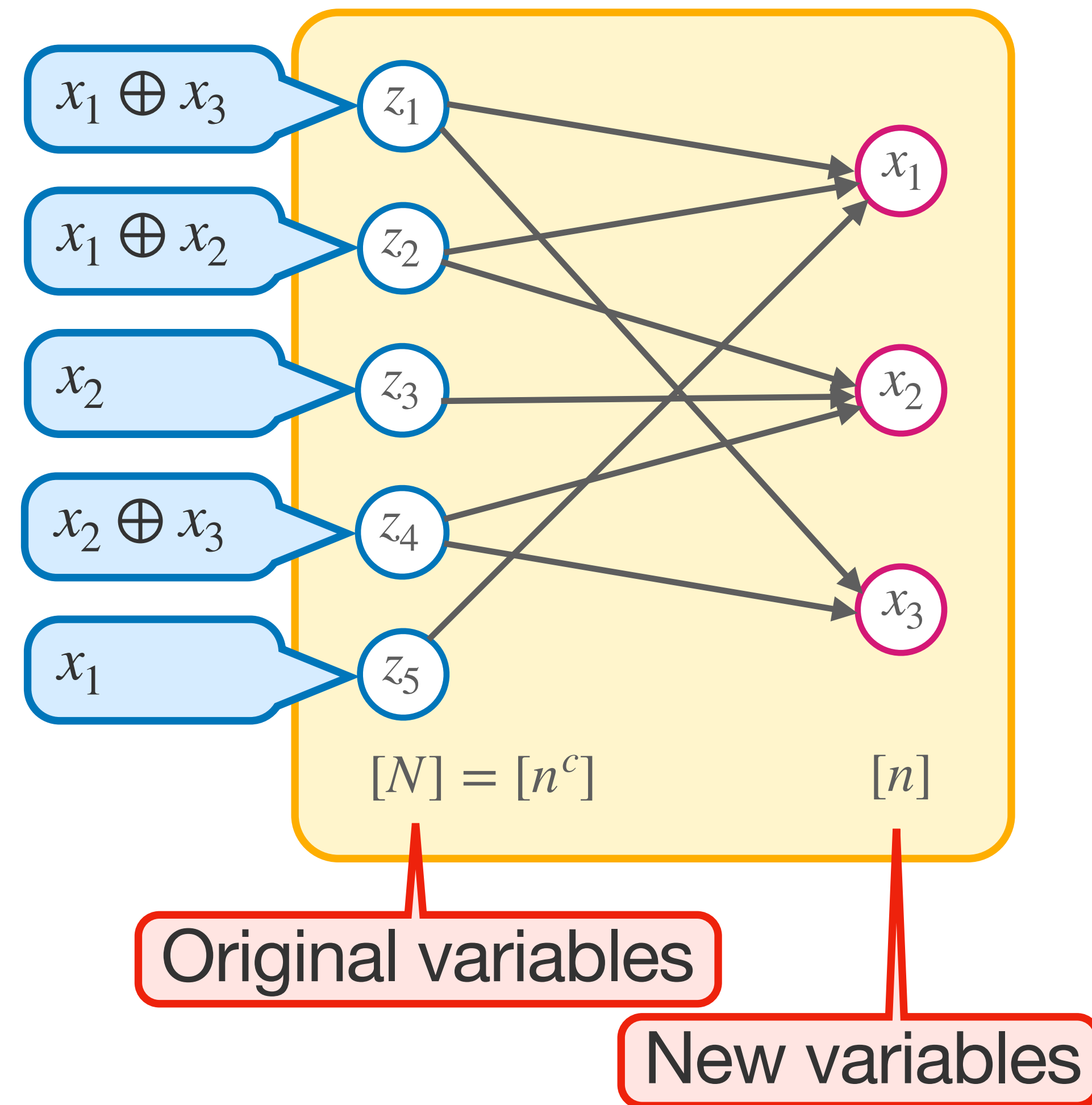
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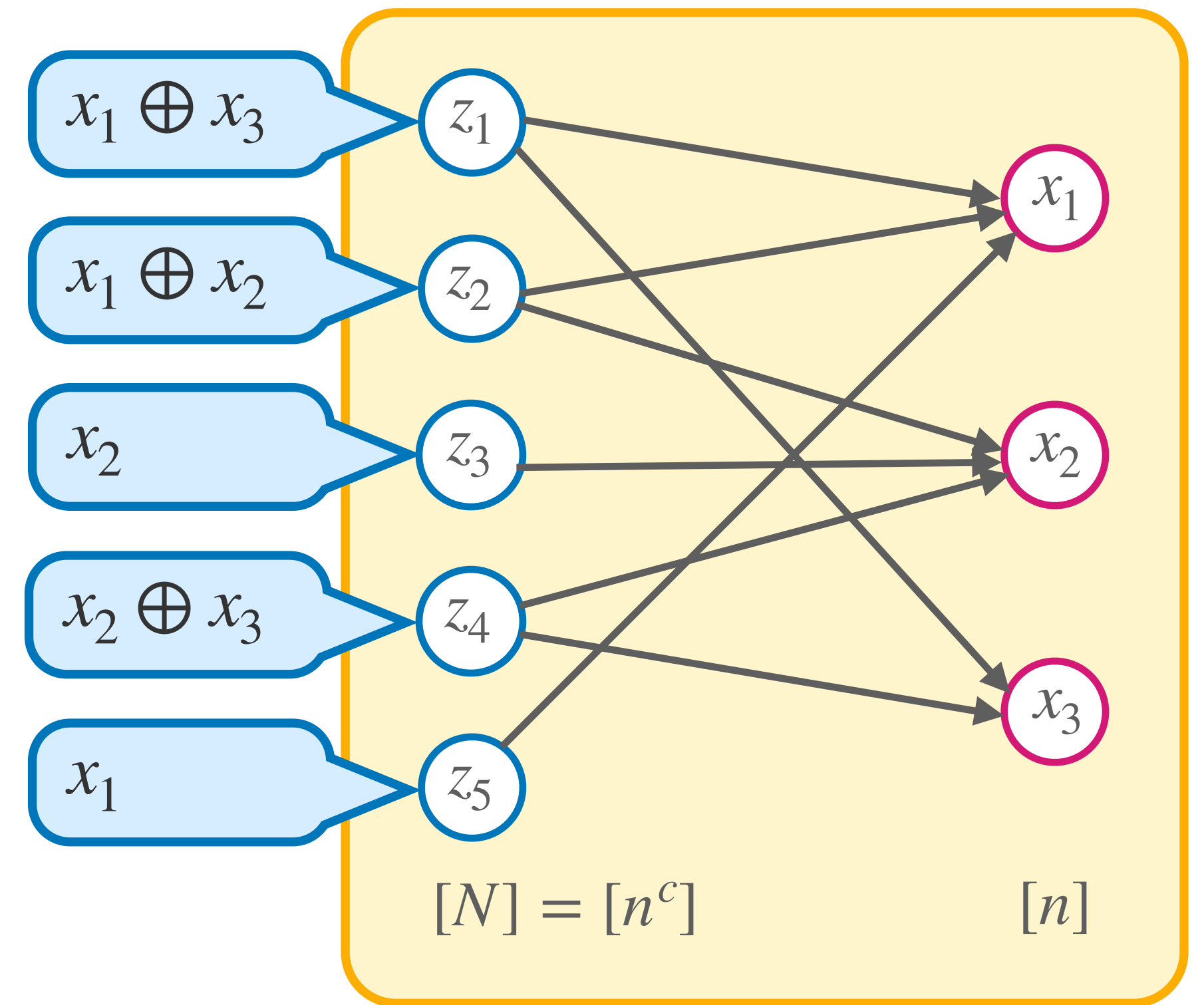


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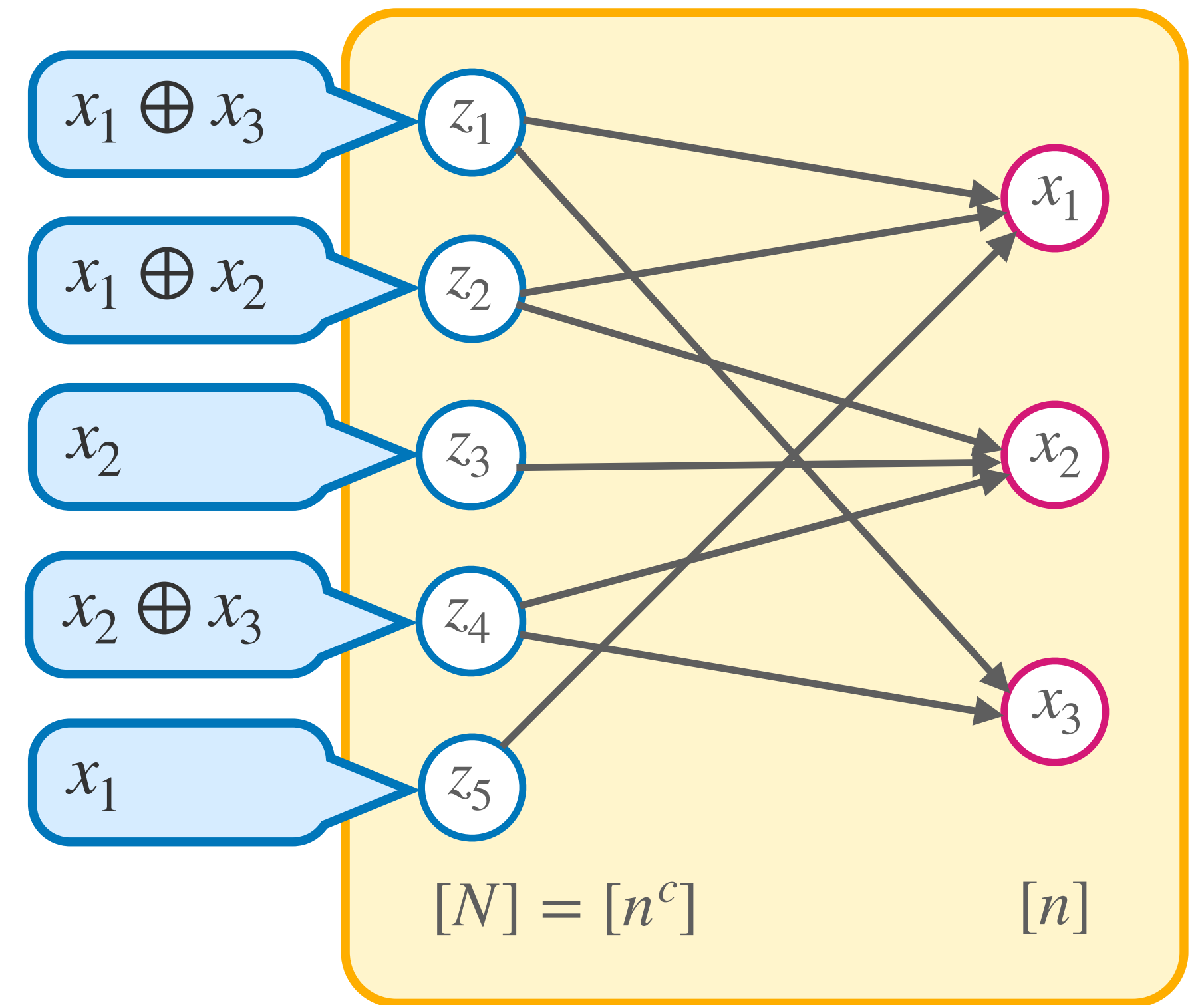
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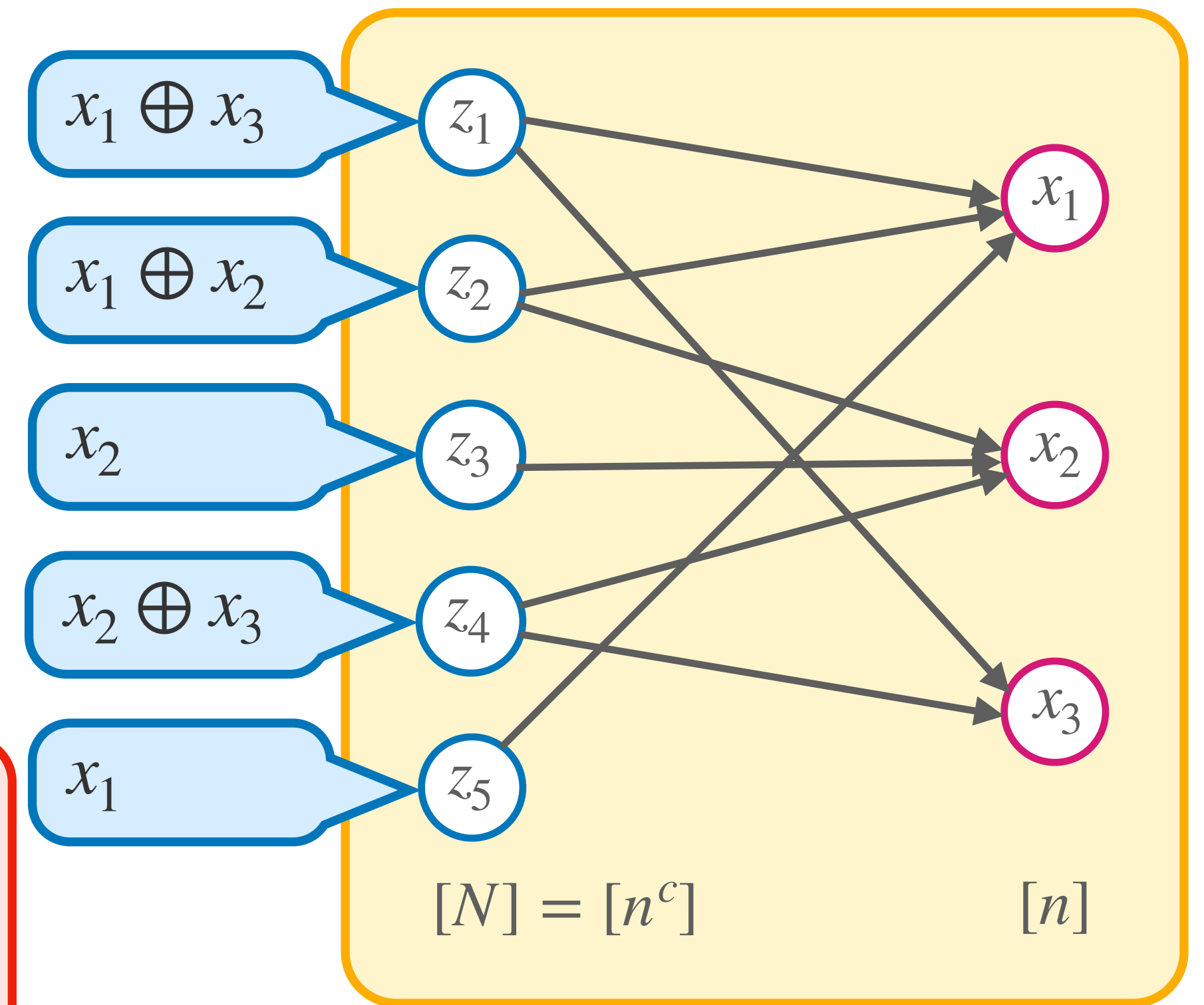


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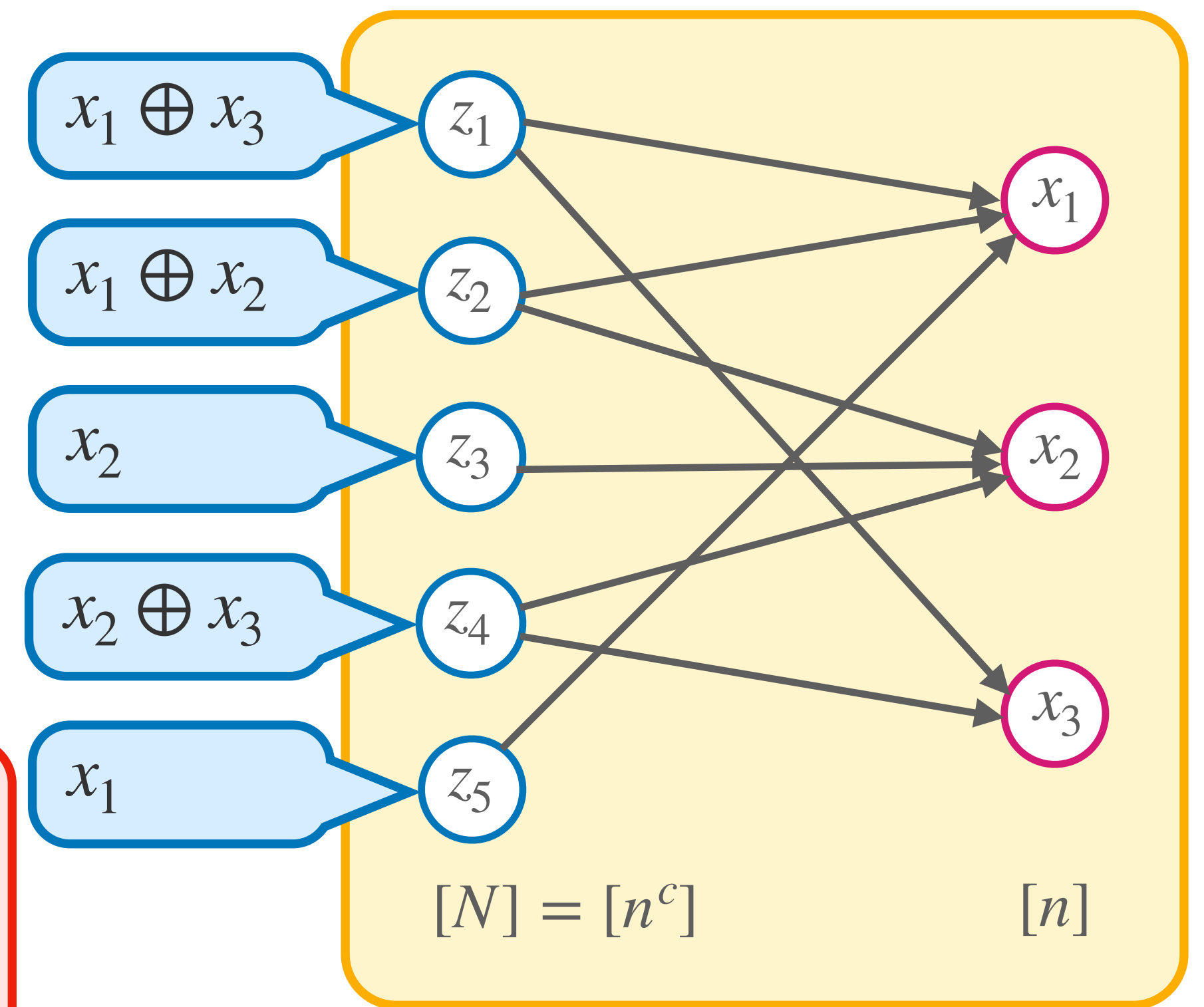


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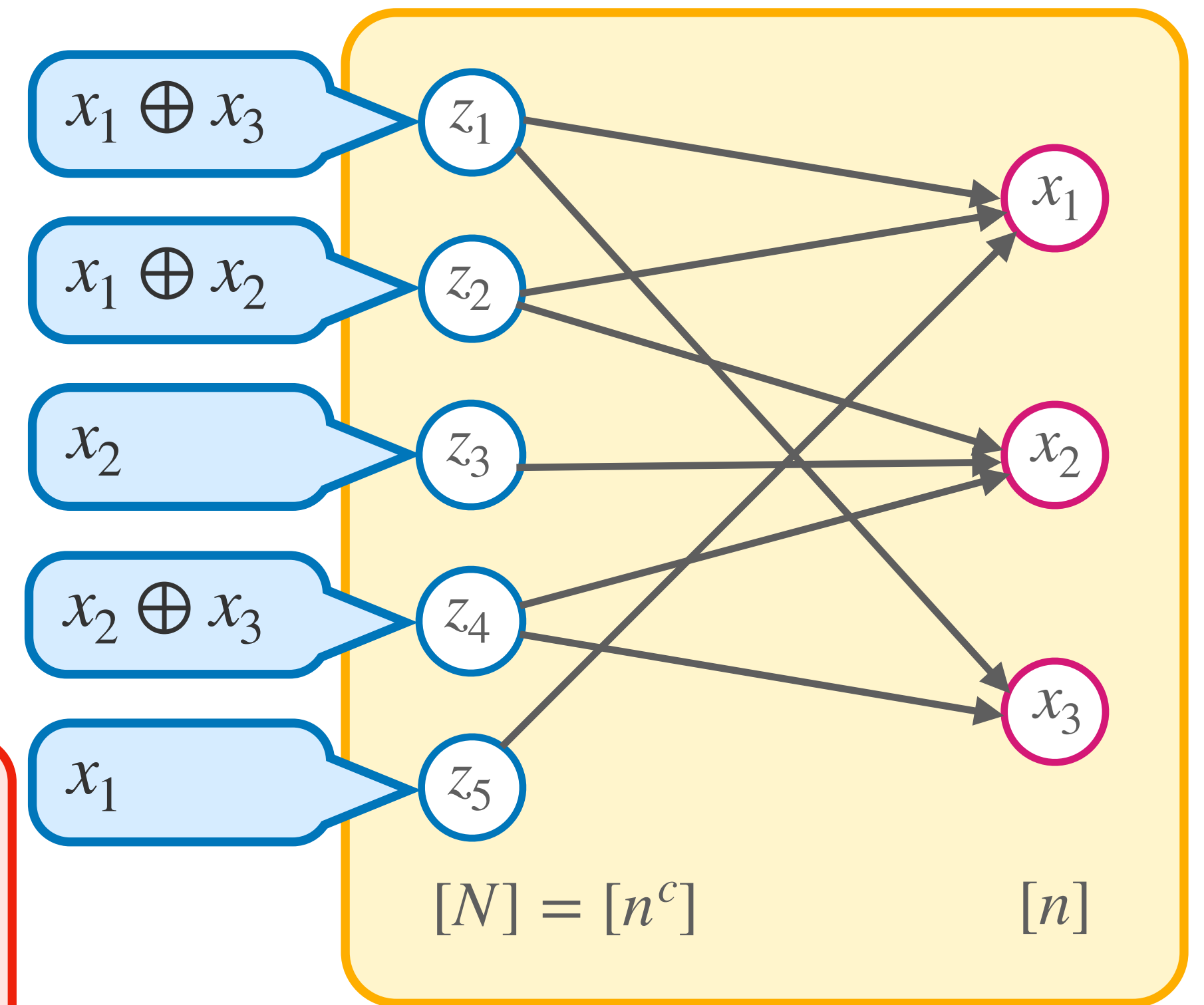


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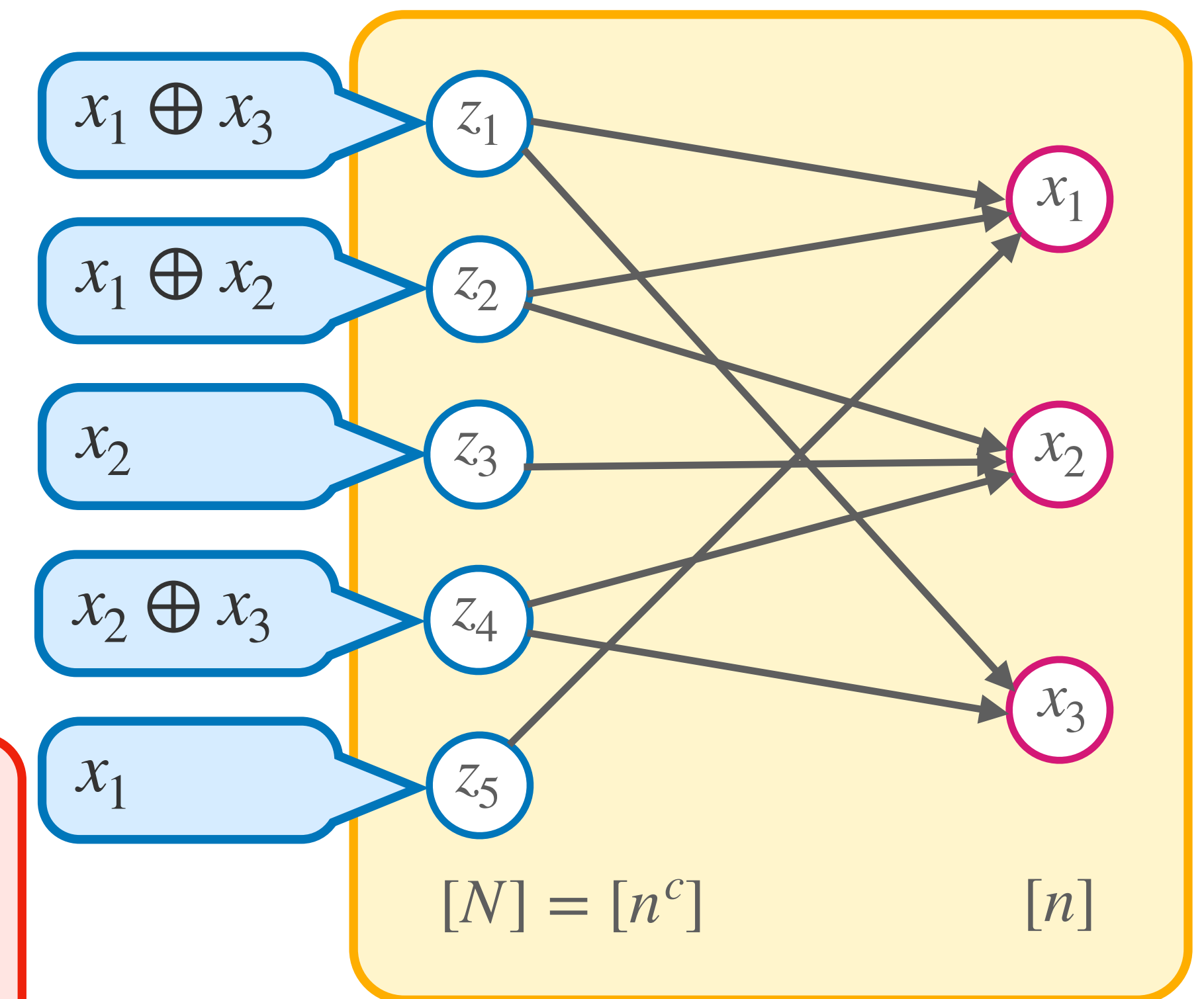
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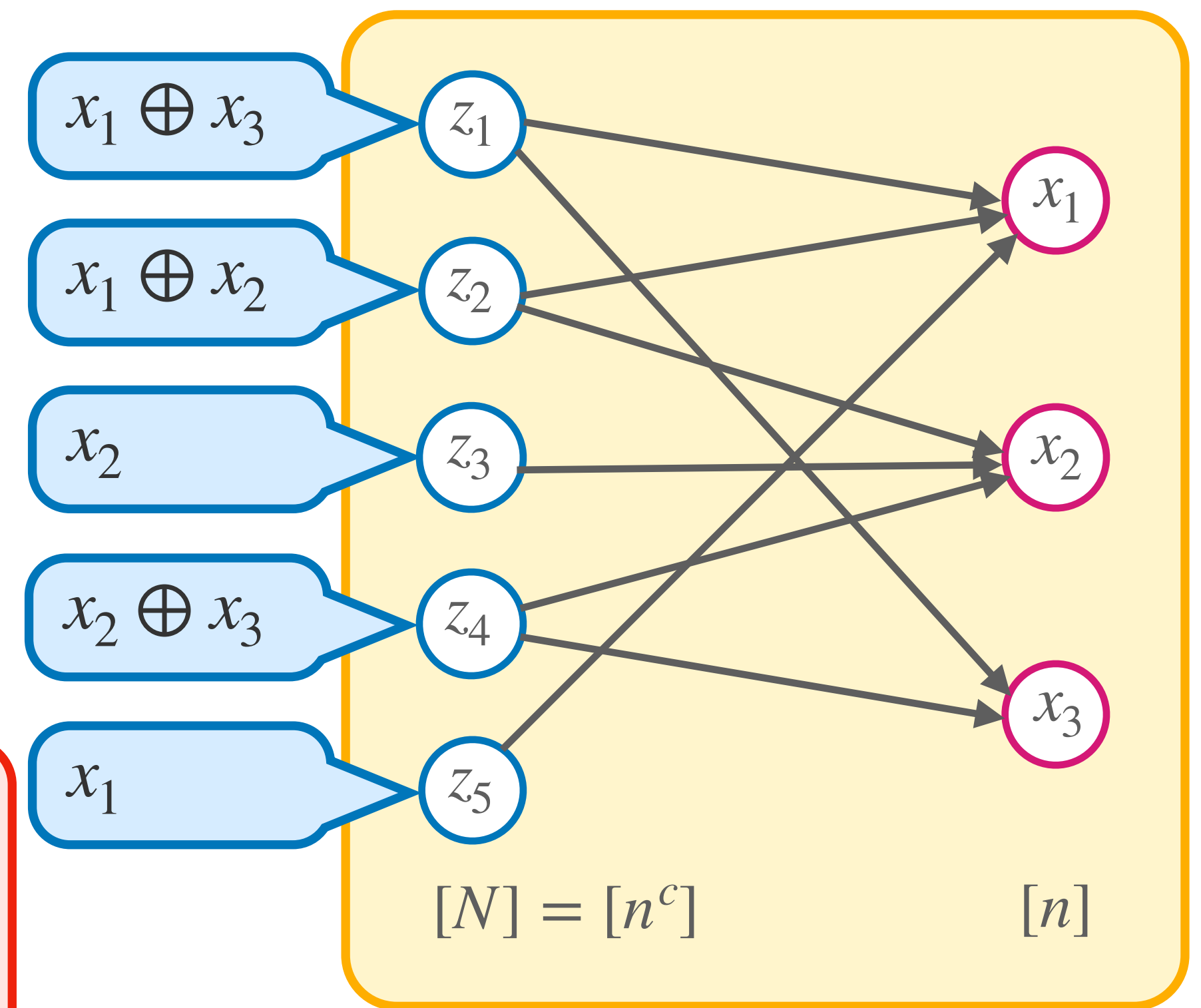
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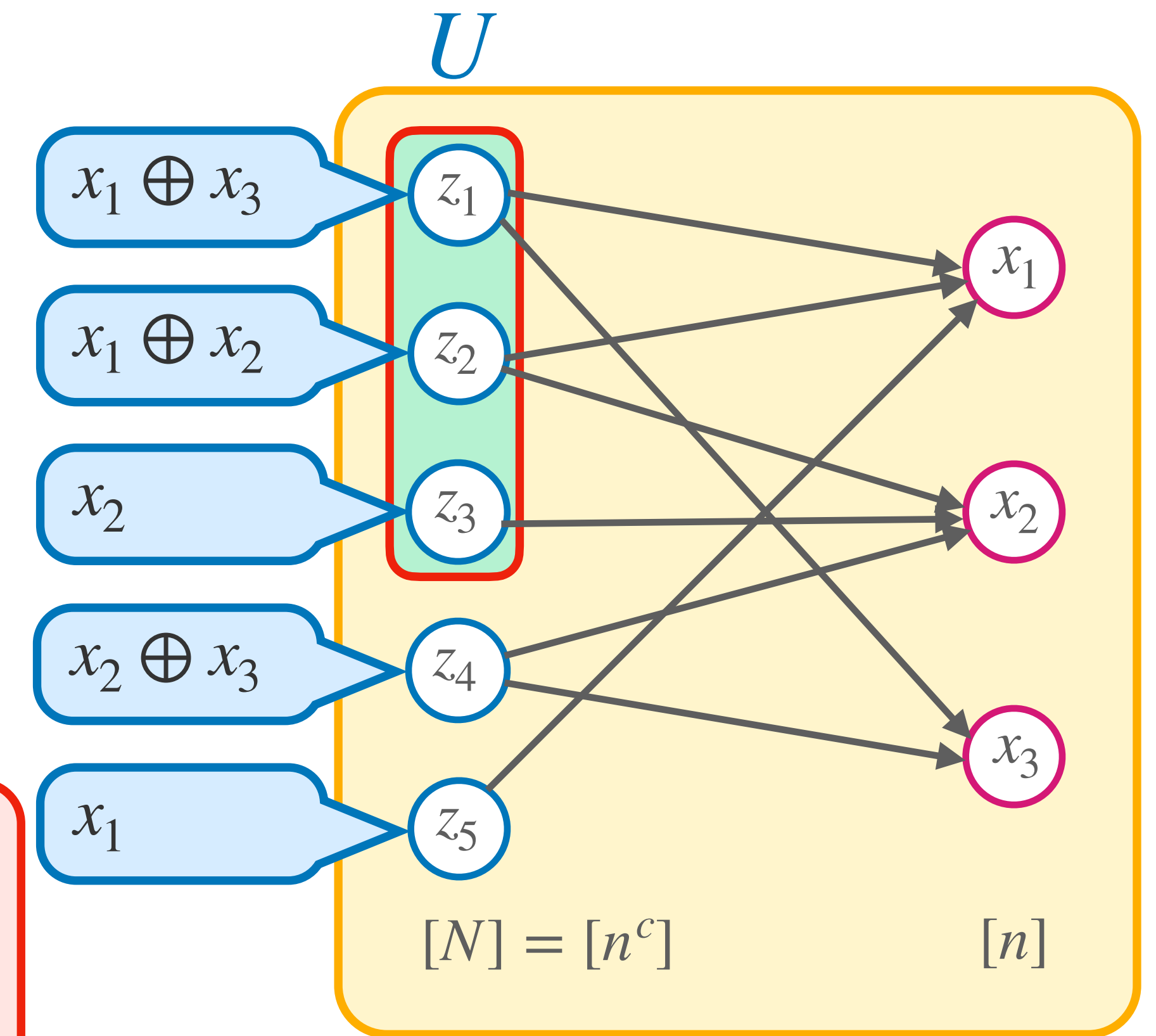
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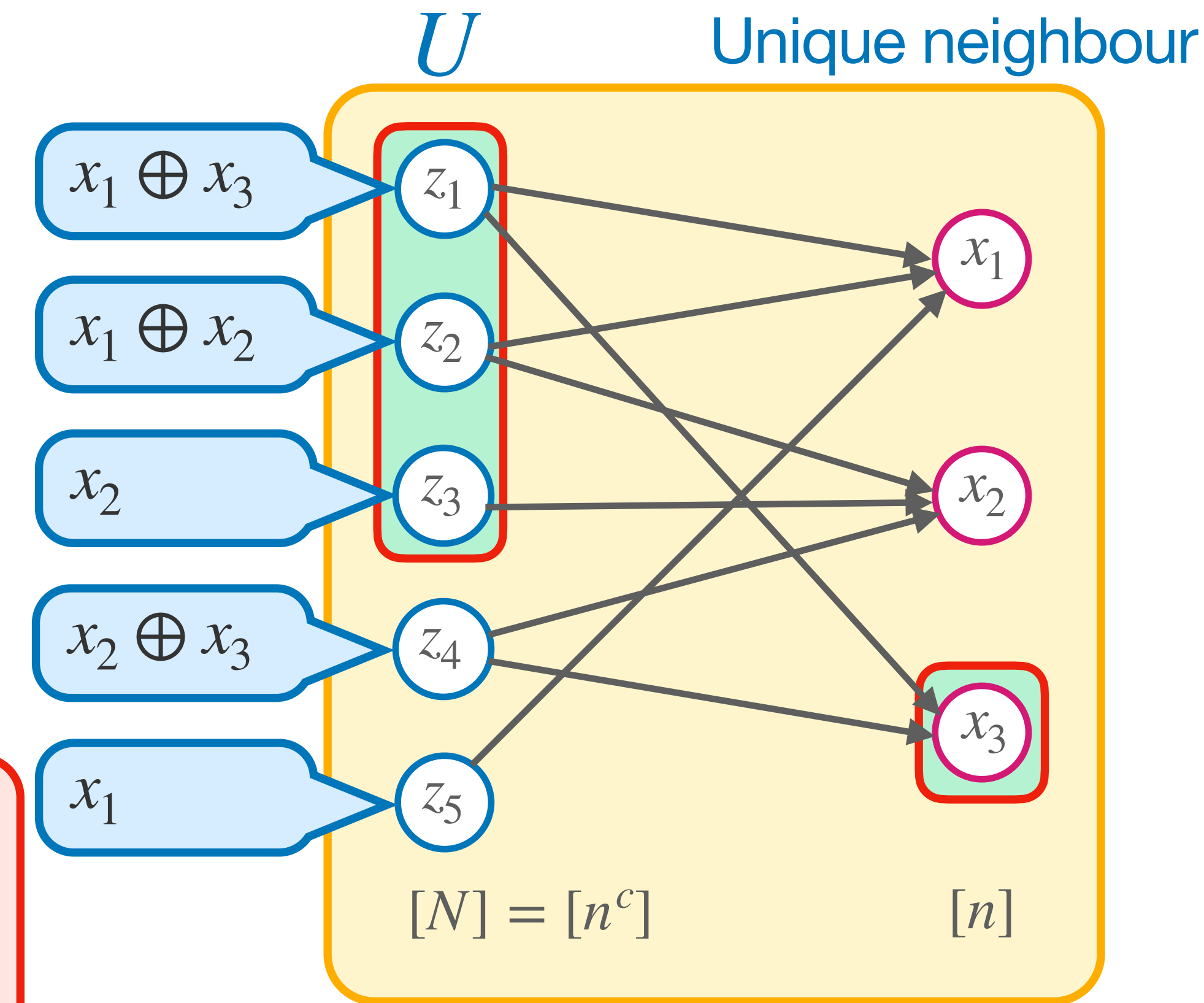
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- Our gadget g will be XOR_G for expanding G

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Main workhorse behind our tradeoff:

Depth Condensation Theorem: ([Razborov16] stated for tree-resolution)

Let G be r -expanding, F any unsatisfiable formula.

If Π is a Resolution proof of $F \circ \text{XOR}_G$ with $\text{width}(\Pi) \leq r/4$ then

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Width-to-Size Lifting Theorem: If Π is a resolution proof of $F \circ \text{XOR}_2$ then

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Let $\varepsilon > 0$, let $c \geq 1$ be real-valued parameter

Main Theorem: There is a CNF formula F on n variables such that

1. There is a P -proof of F of size $n^c \cdot 2^{O(c)}$
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- For **Cutting Planes** we use the lifting theorem of **[GGKS18]**
- For **Res(k)** we prove a **Resolution width** \rightarrow **Res(k)** size lifting theorem with $g = \text{XOR}_2$, which uses the switching lemma of **[SBI04]**

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Our proof uses a **characterization** of resolution depth by **Prover-Adversary games**

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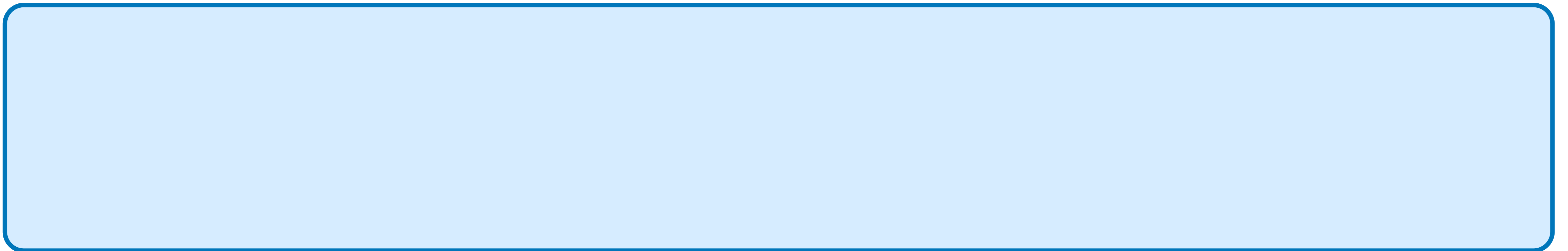
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Claim: If there is a strategy for the **Adversary** such that the game always continues for at least d rounds, then any resolution proof of F requires depth $\geq d$

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Unbounded Game: No bound on $|\rho|$

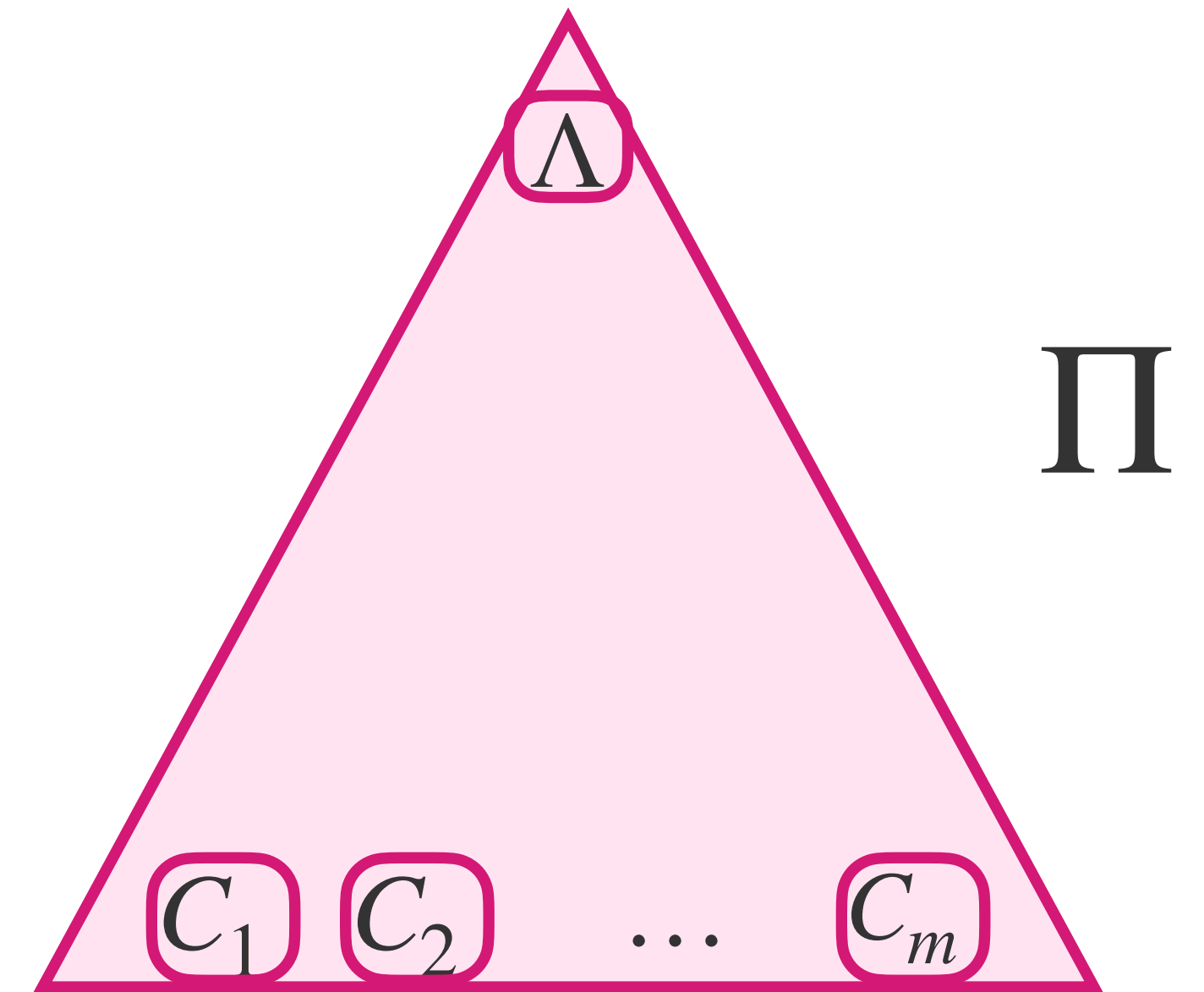
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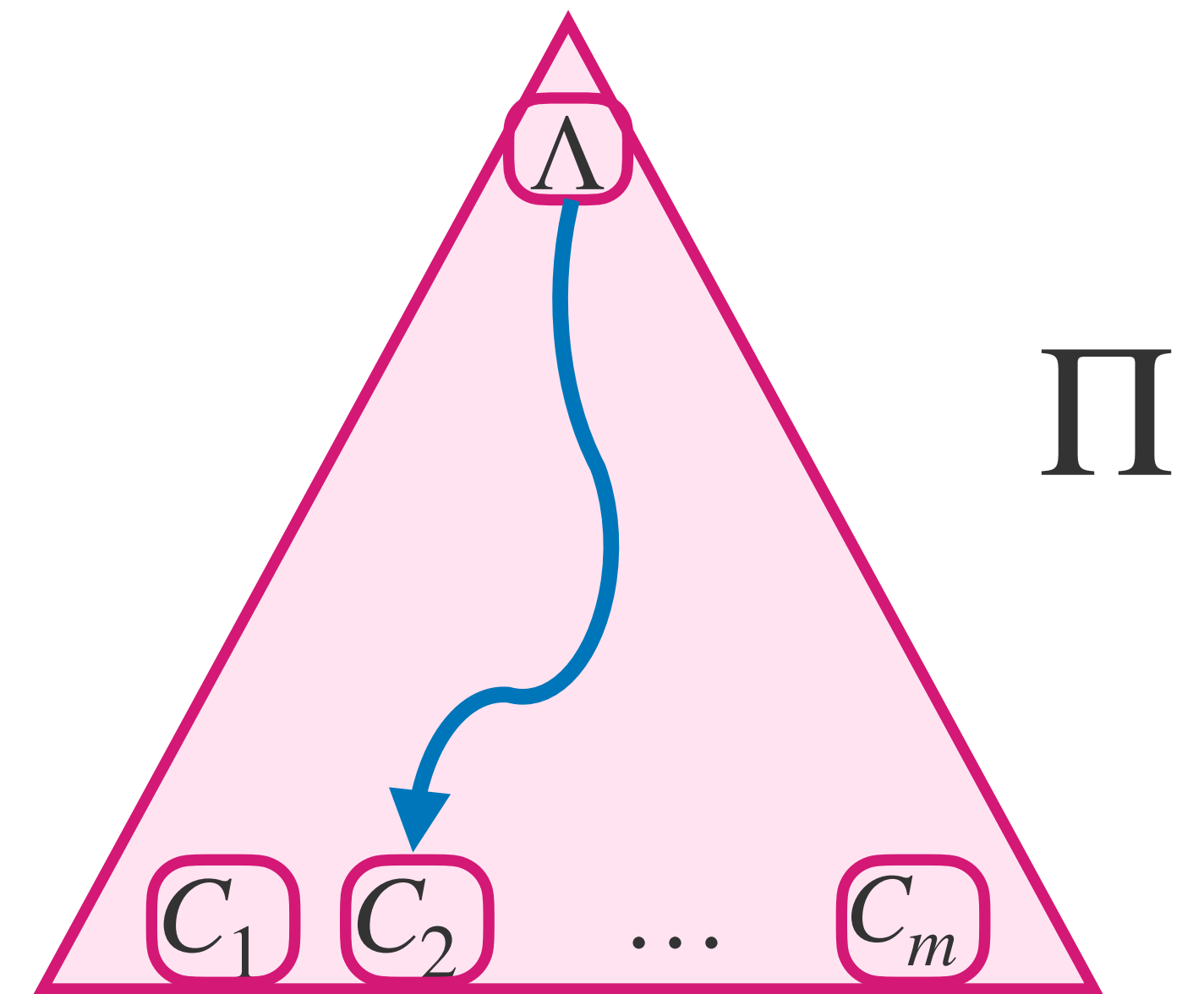
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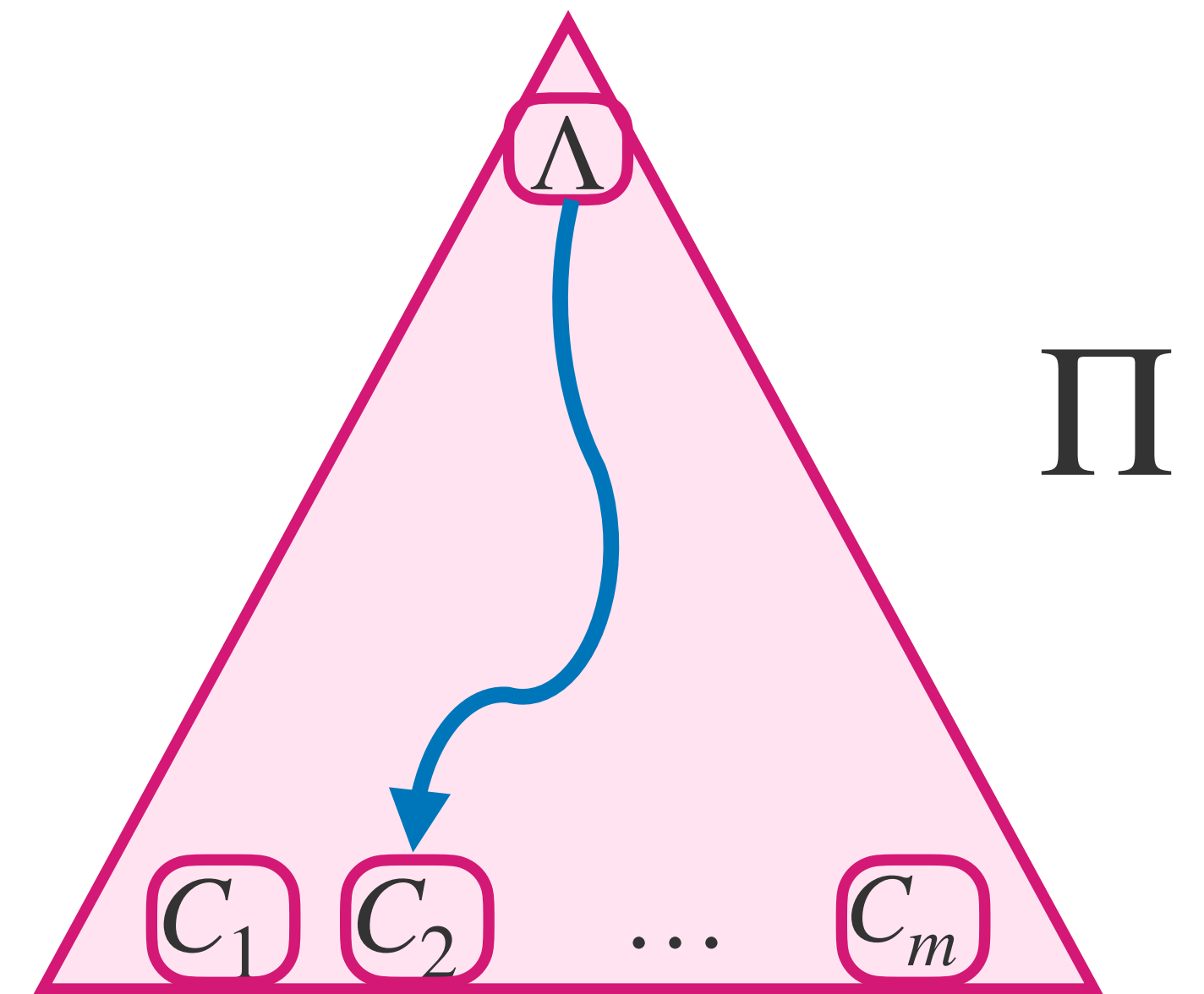


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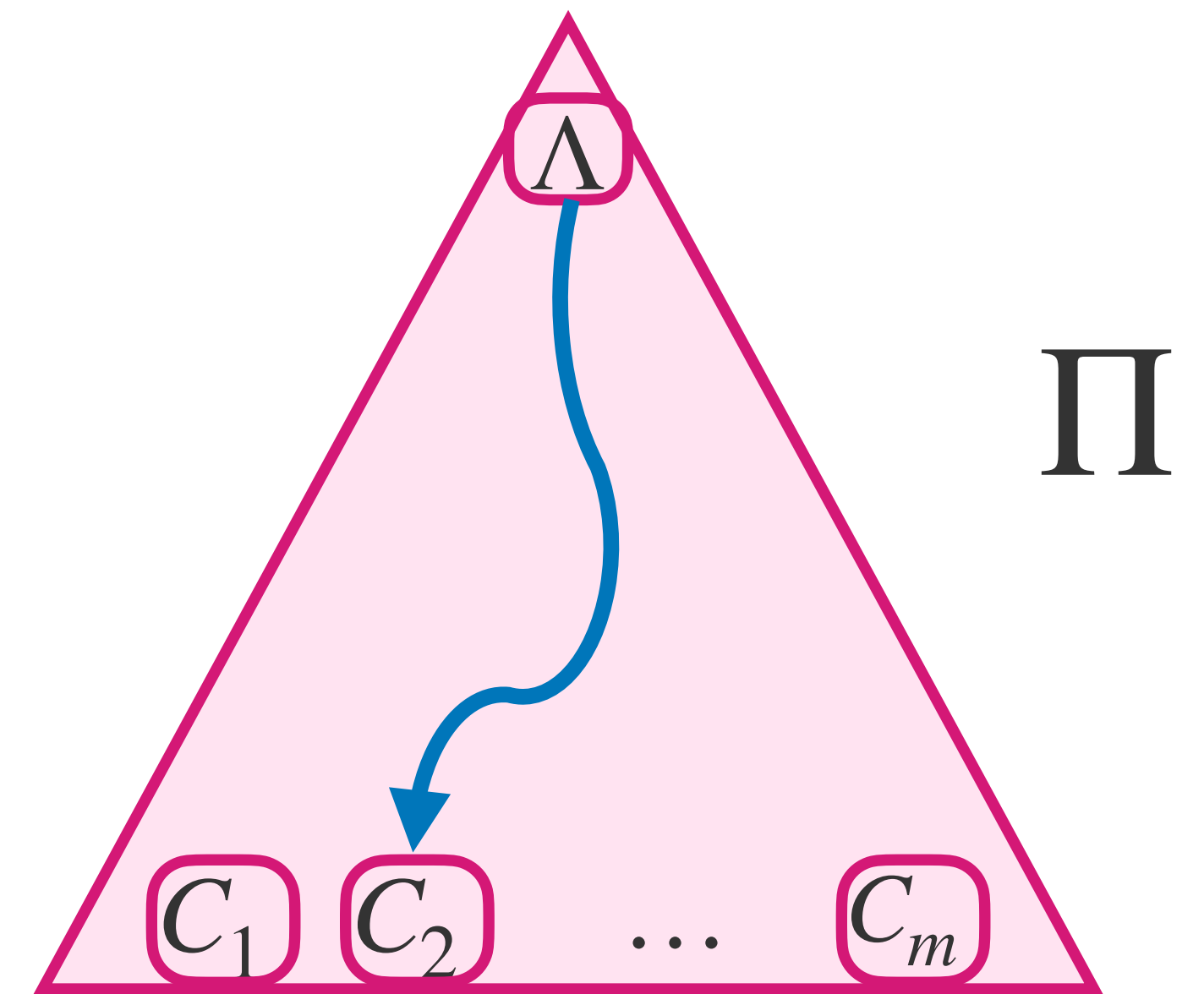
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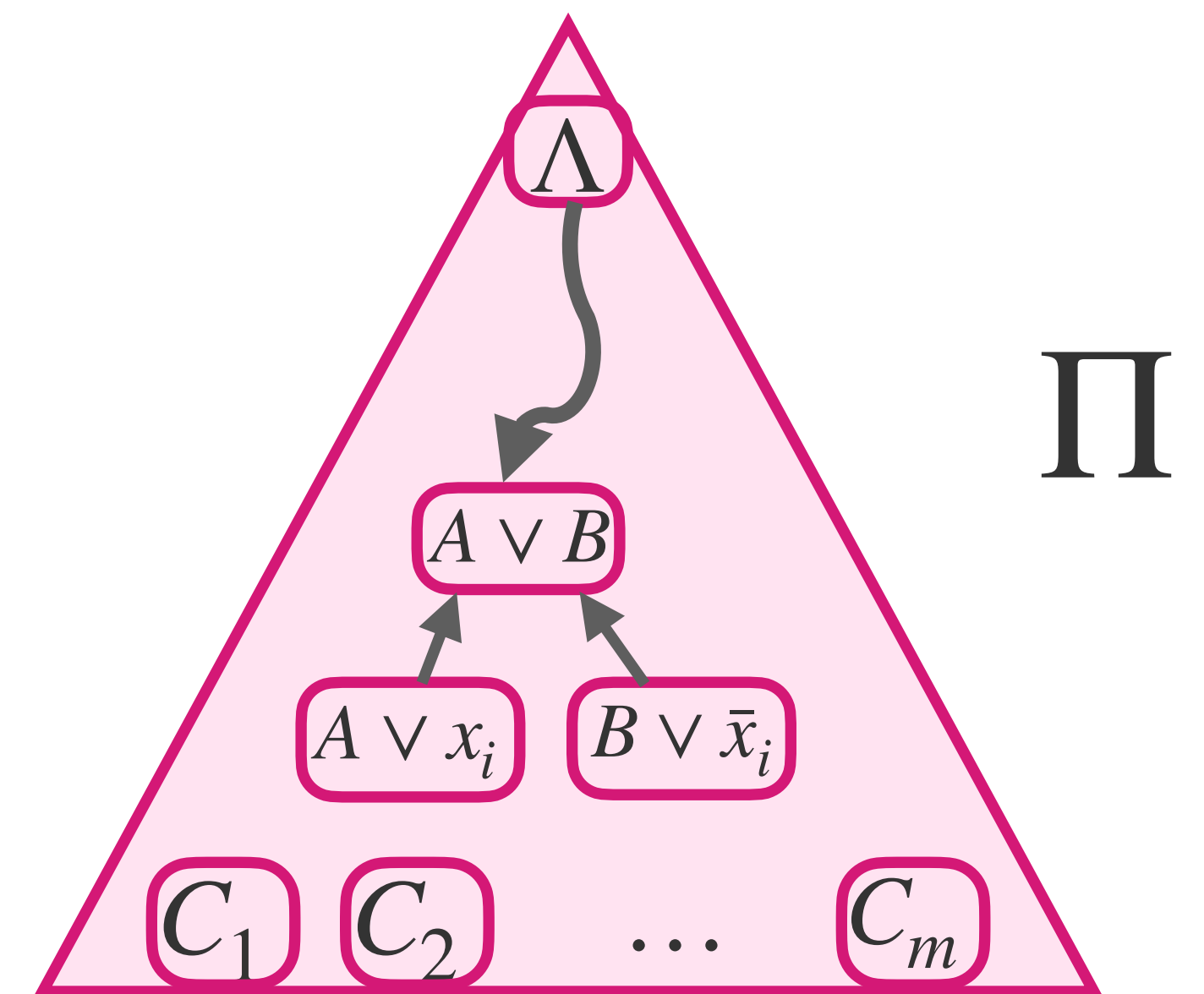
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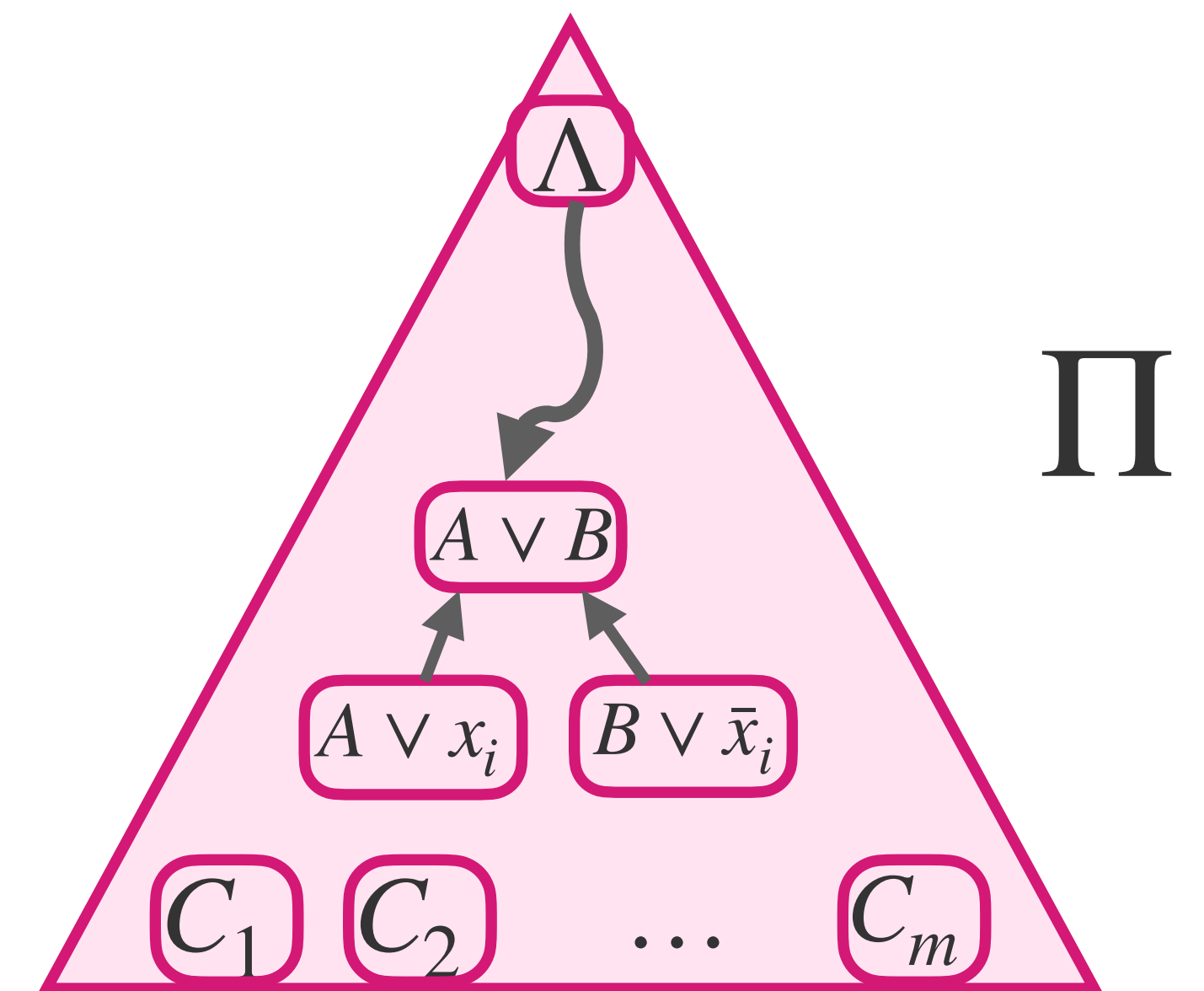
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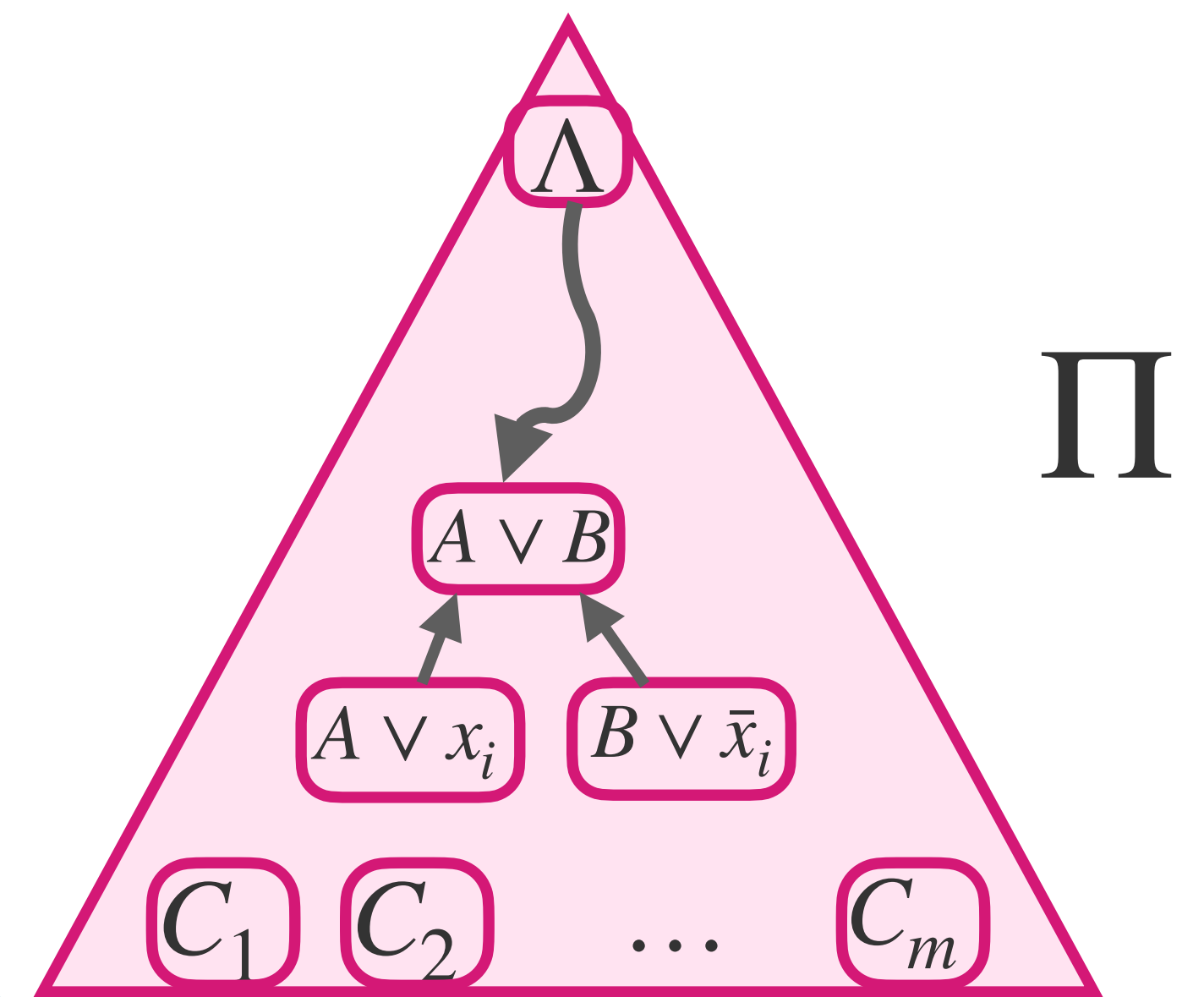
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- If Adversary says $x_i = 0$ move to $A \vee x_i$. Forget $B \setminus A \cup x_i$



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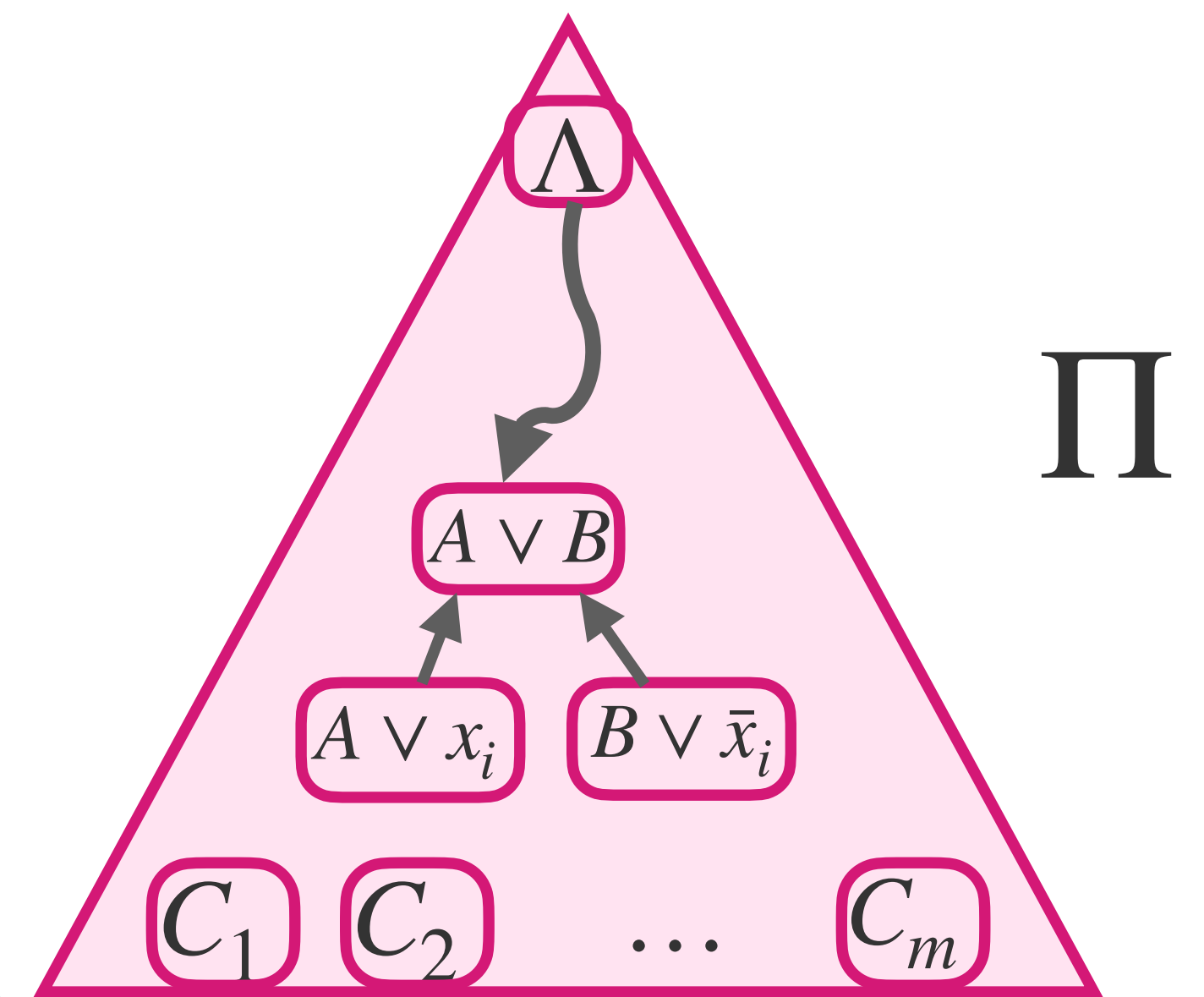
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- Otherwise, move to $B \vee \bar{x}_i$. Forget $A \setminus B$



(New) Proof of Depth Condensation

Depth Condensation Theorem:

Let G be an r -boundary expander, F any unsatisfiable formula.

If Π is a Resolution proof of $F \circ XOR_G$ with $\text{width}(\Pi) \leq r/4$ then

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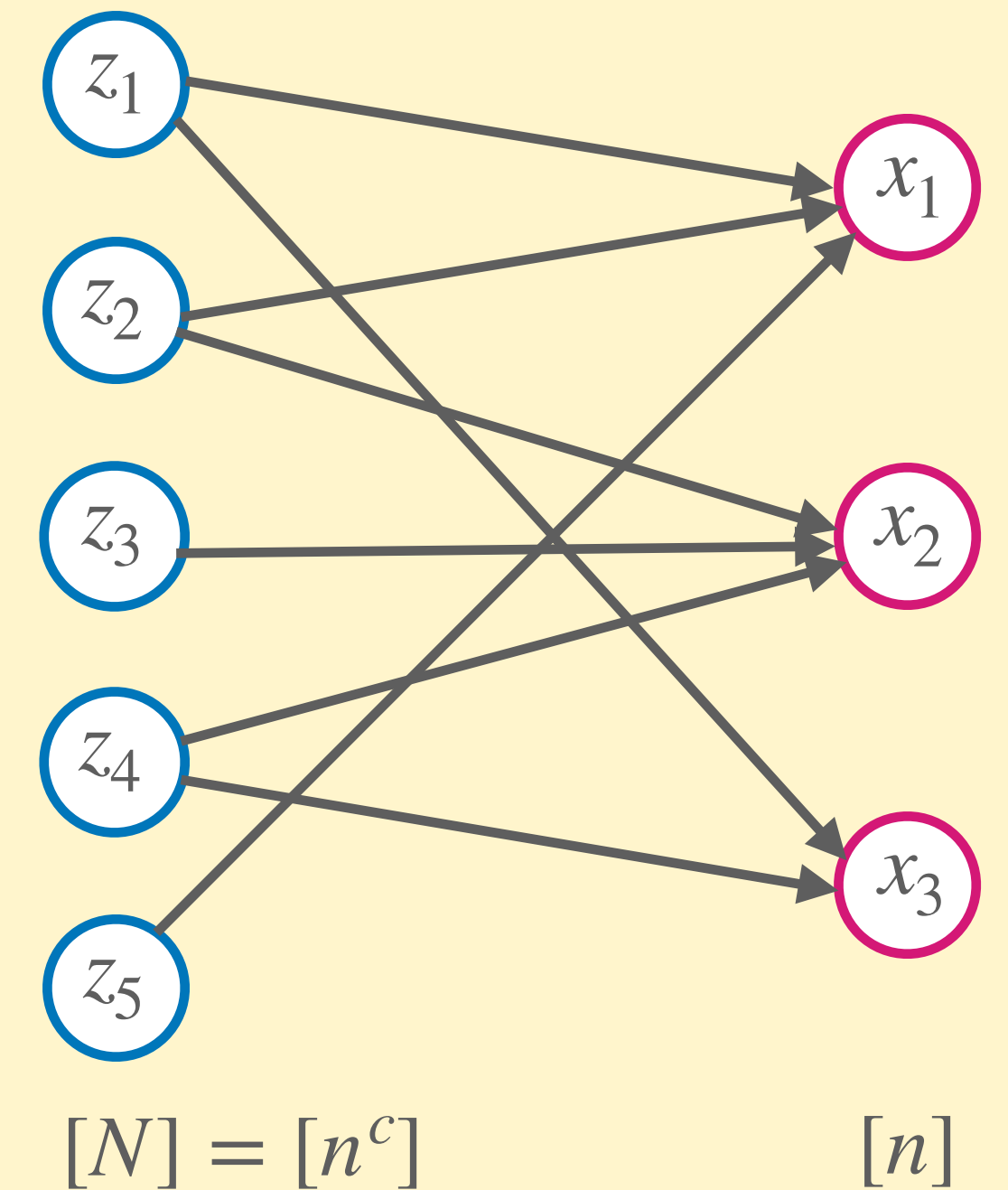
\rightarrow Use A to construct an **Adversary Strategy** for the w -bounded game on $F \circ XOR_G$ to survive $\Omega(d/w)$ rounds, for any $w \leq r/4$.

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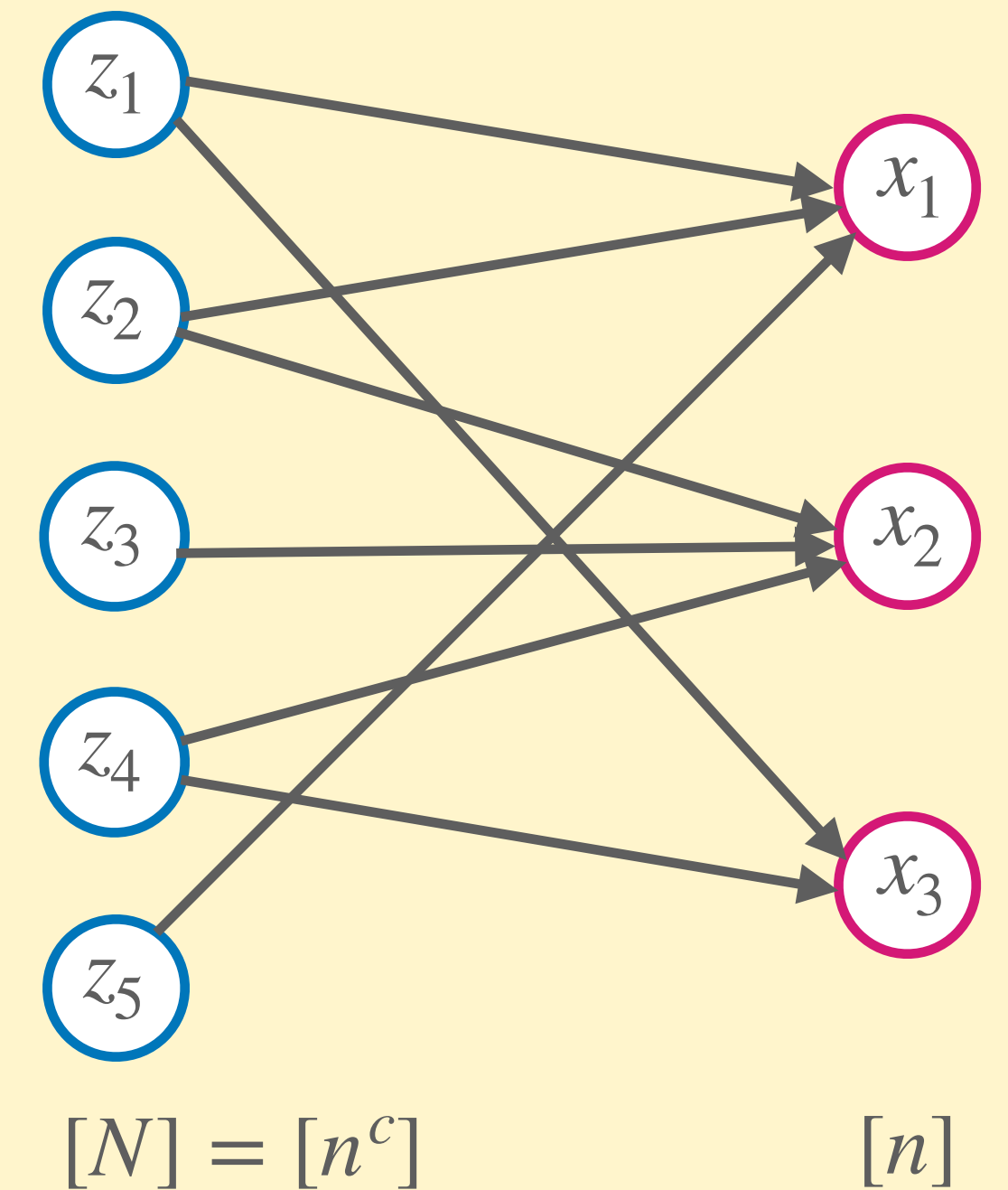
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If Prover queries x_i :



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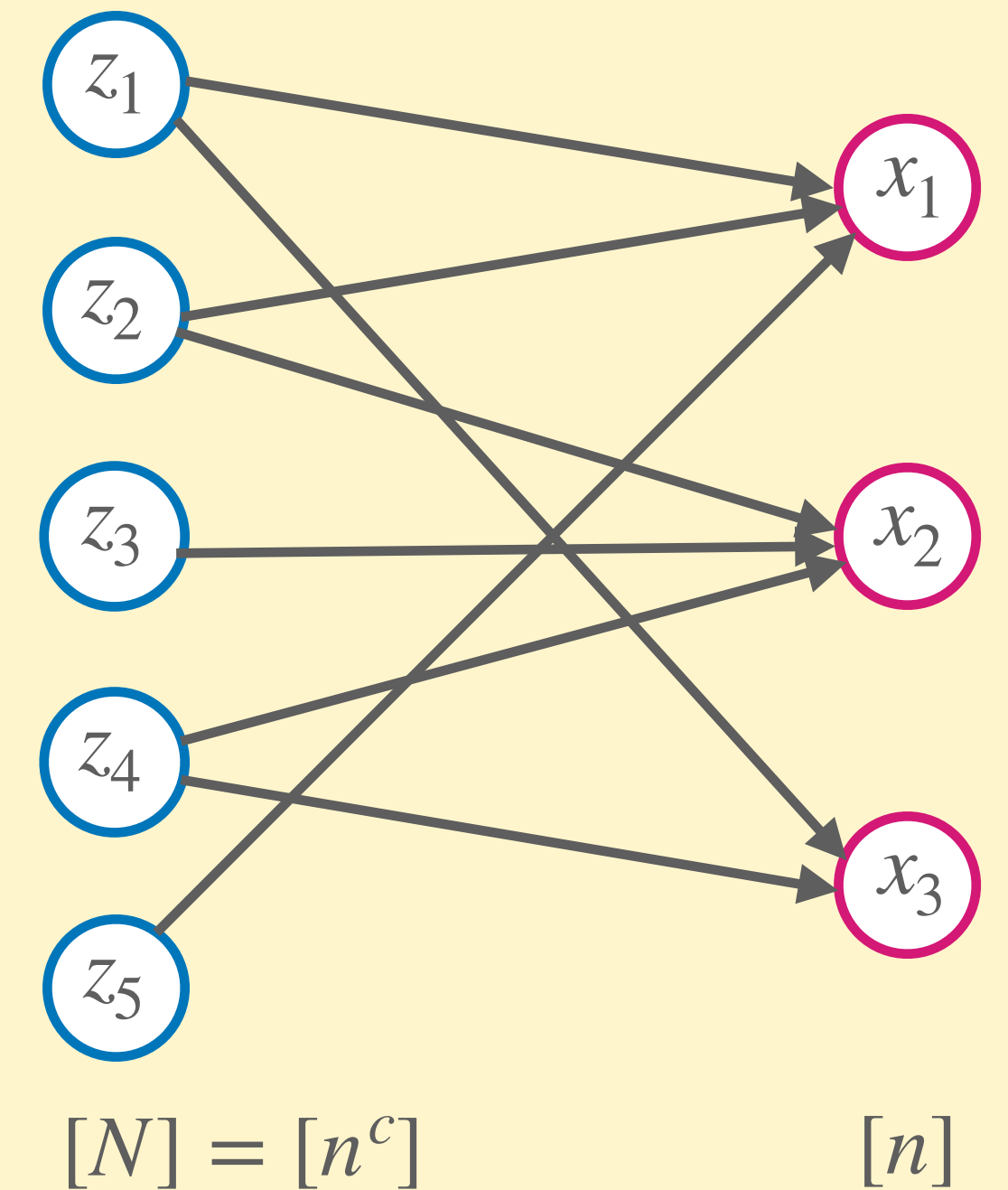
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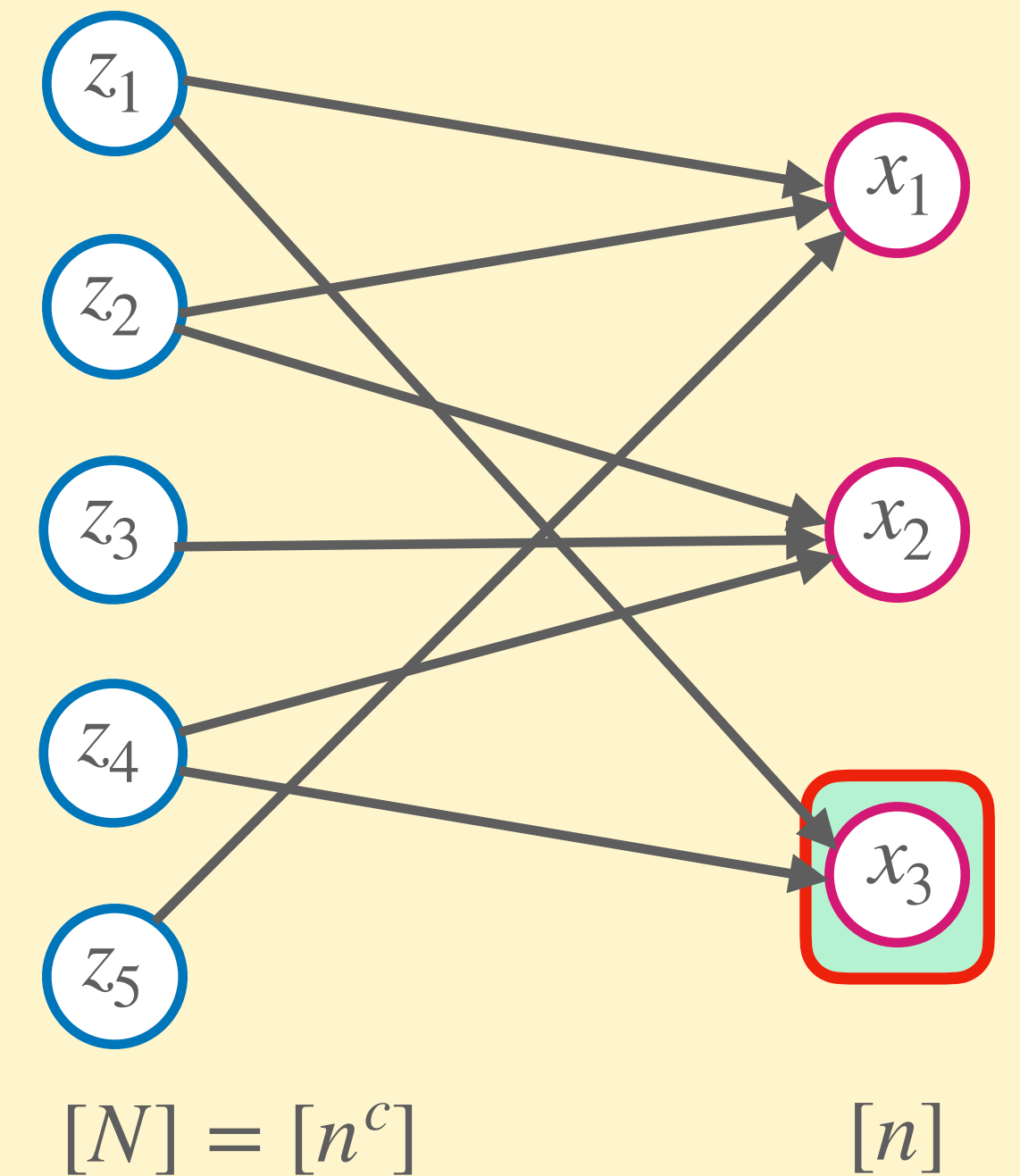
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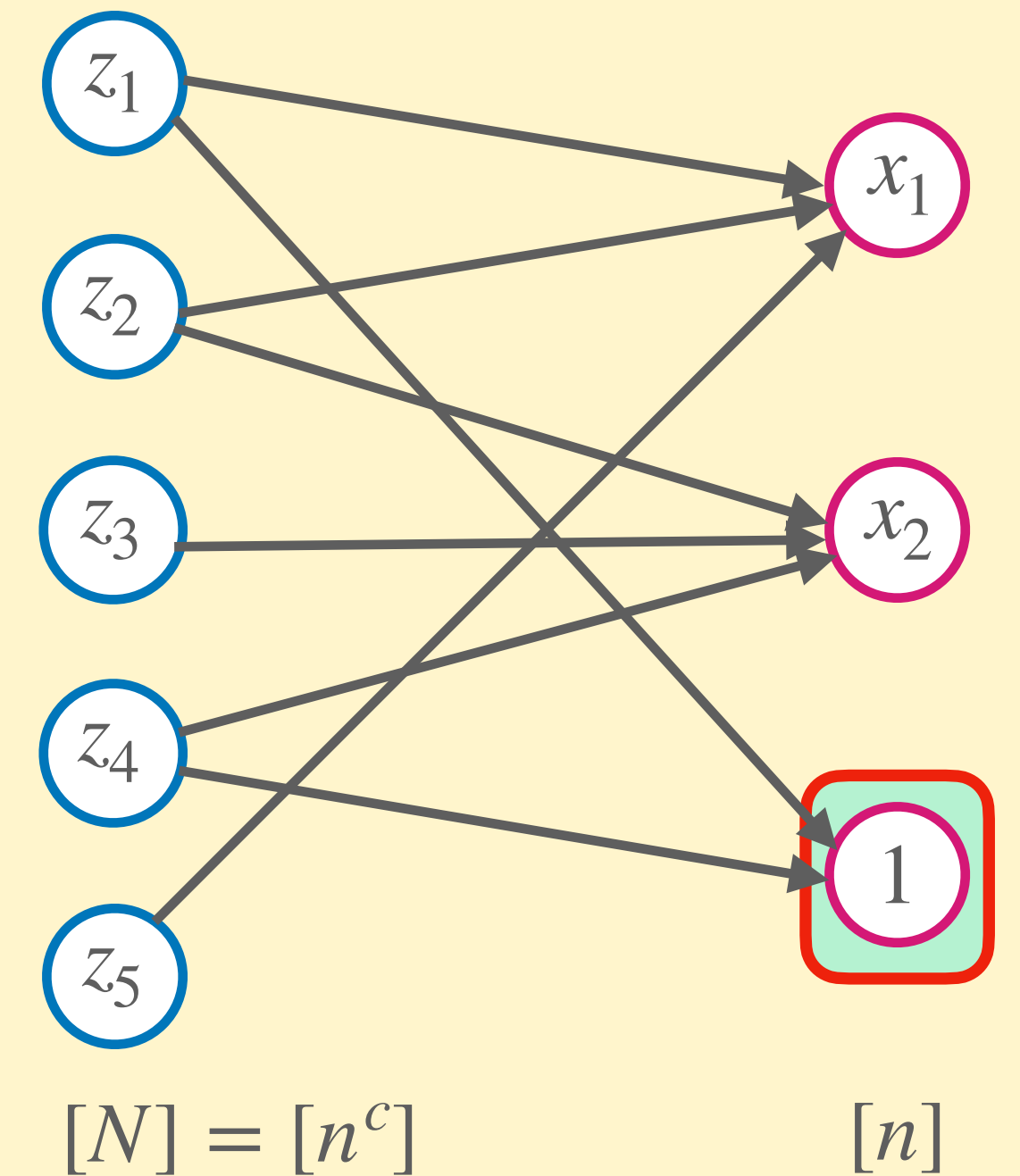
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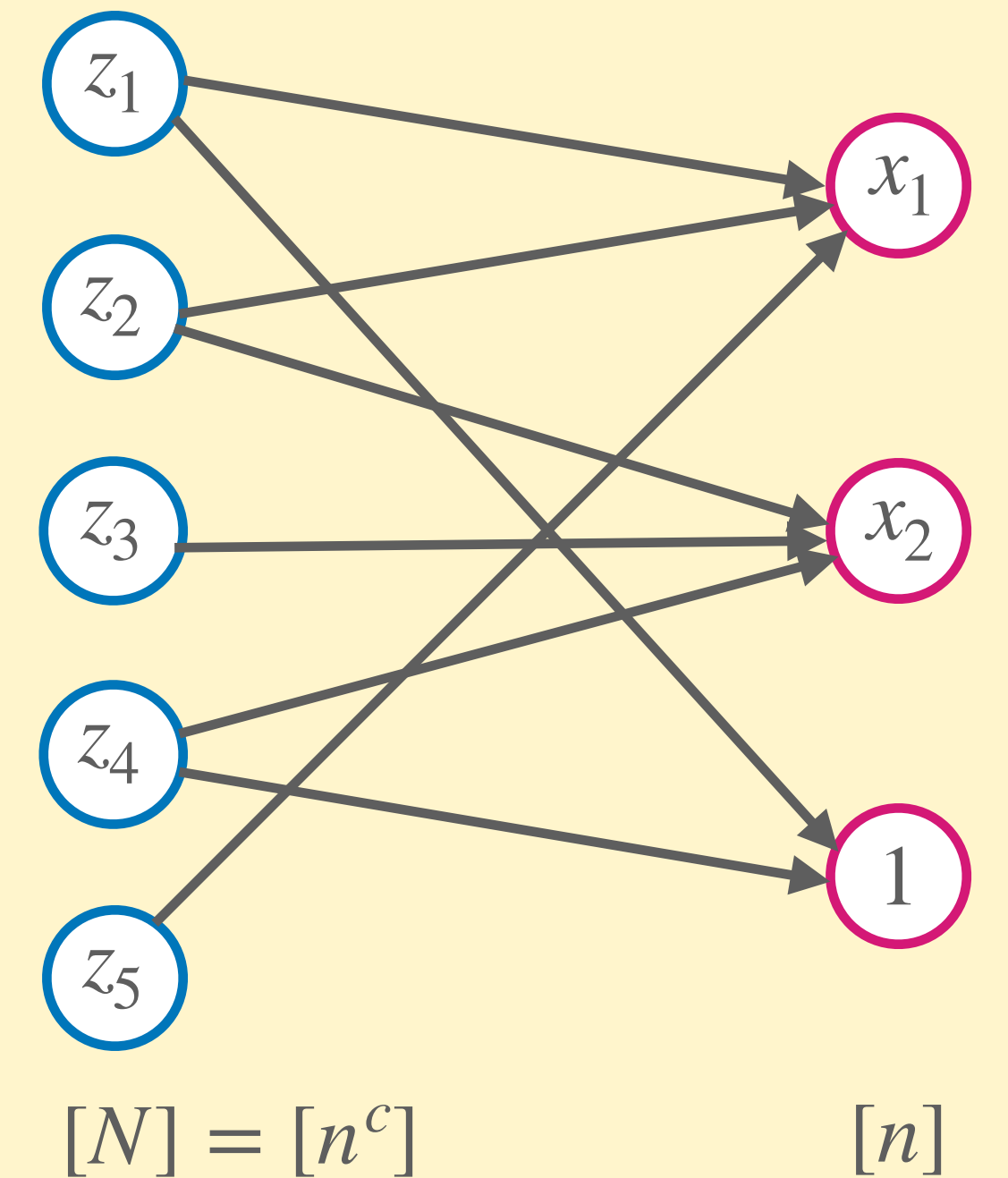
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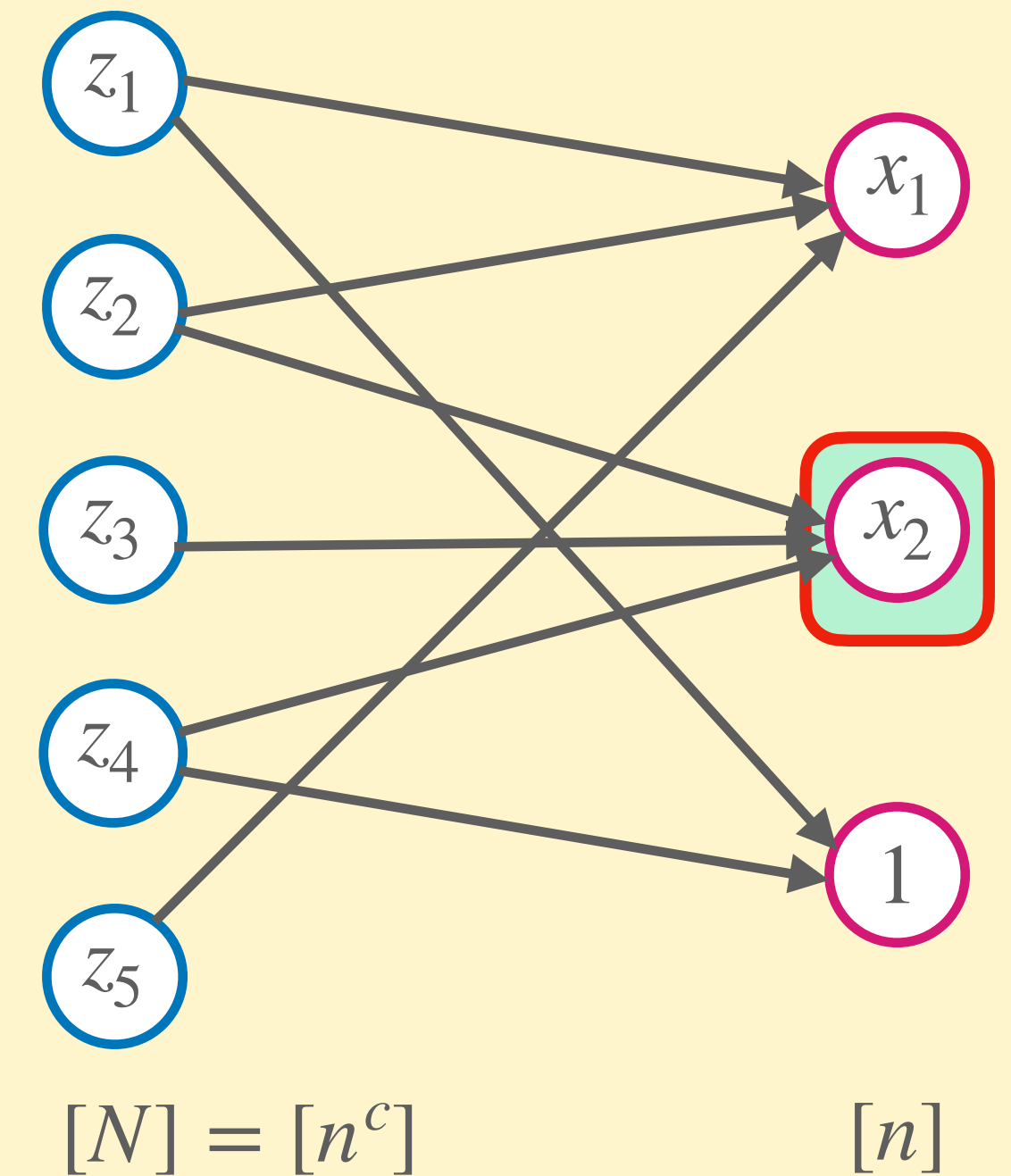
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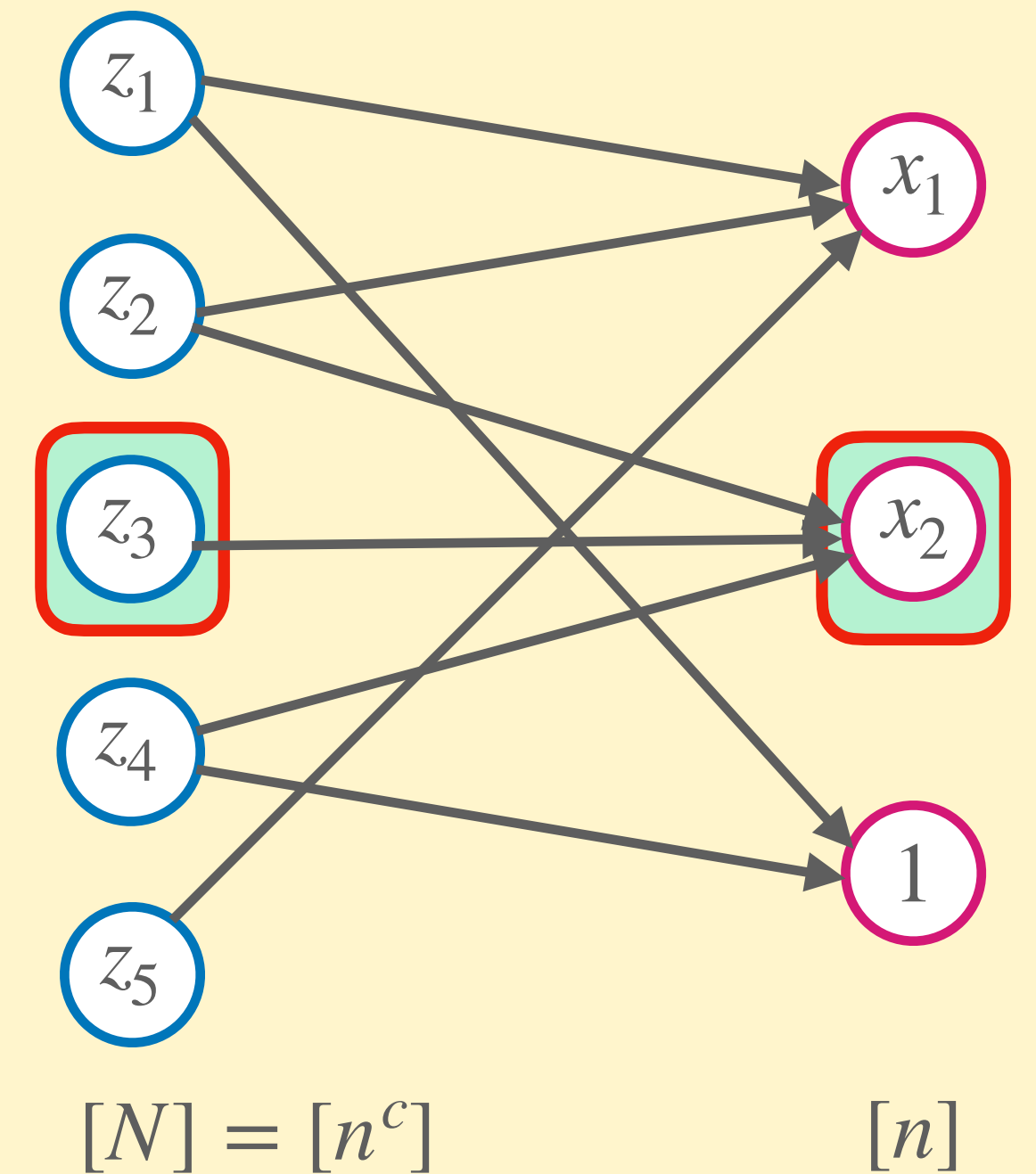
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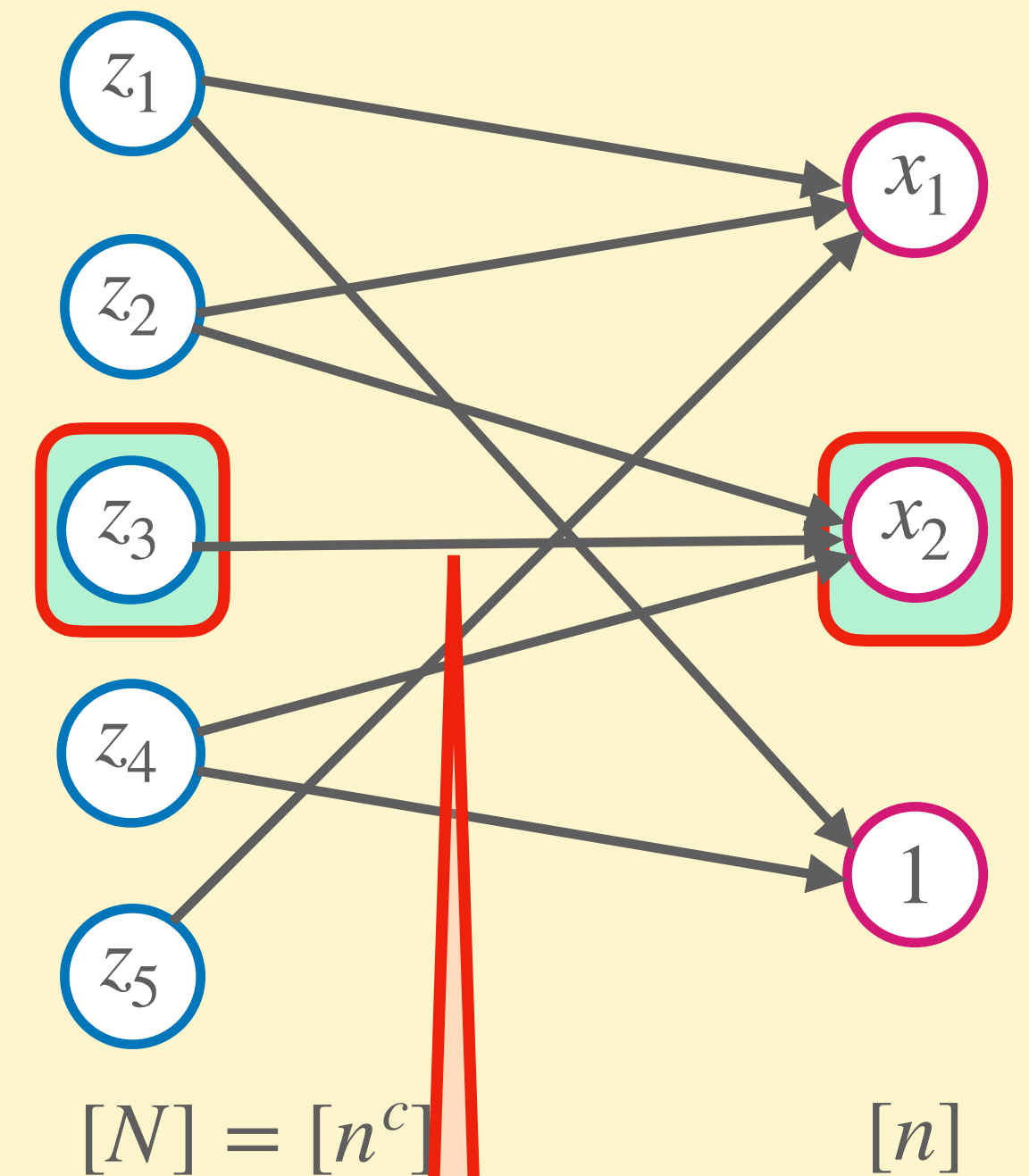
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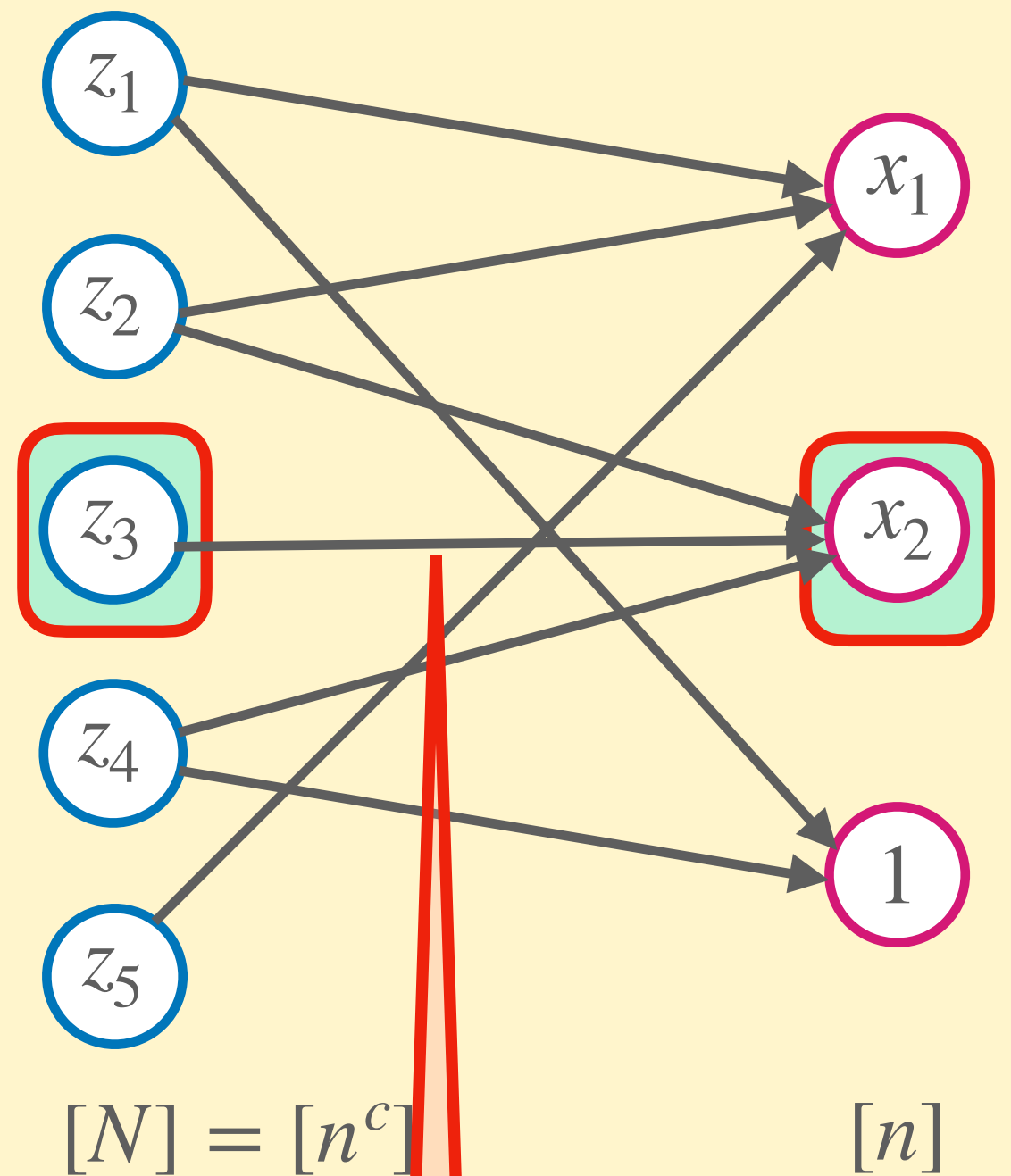
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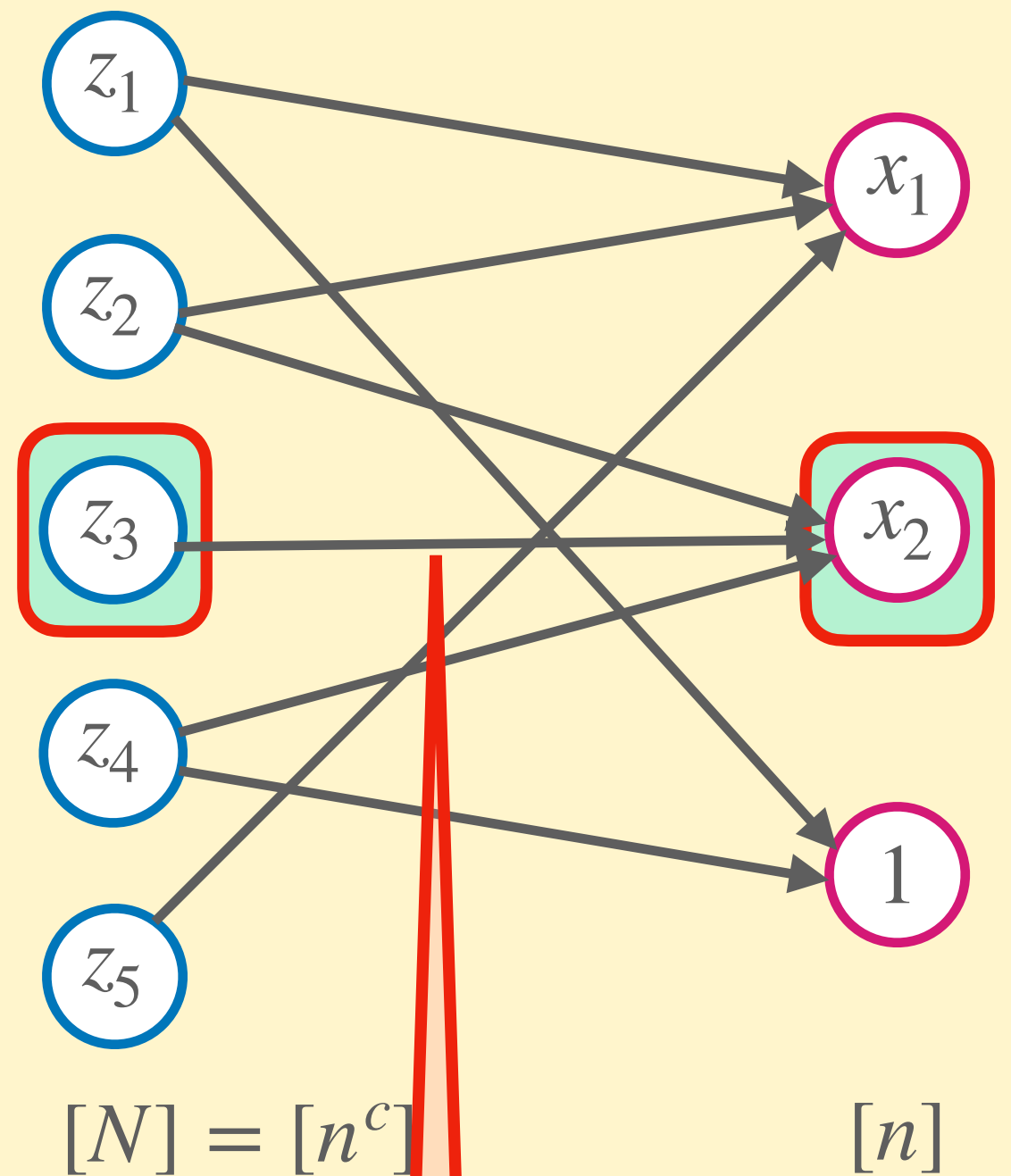
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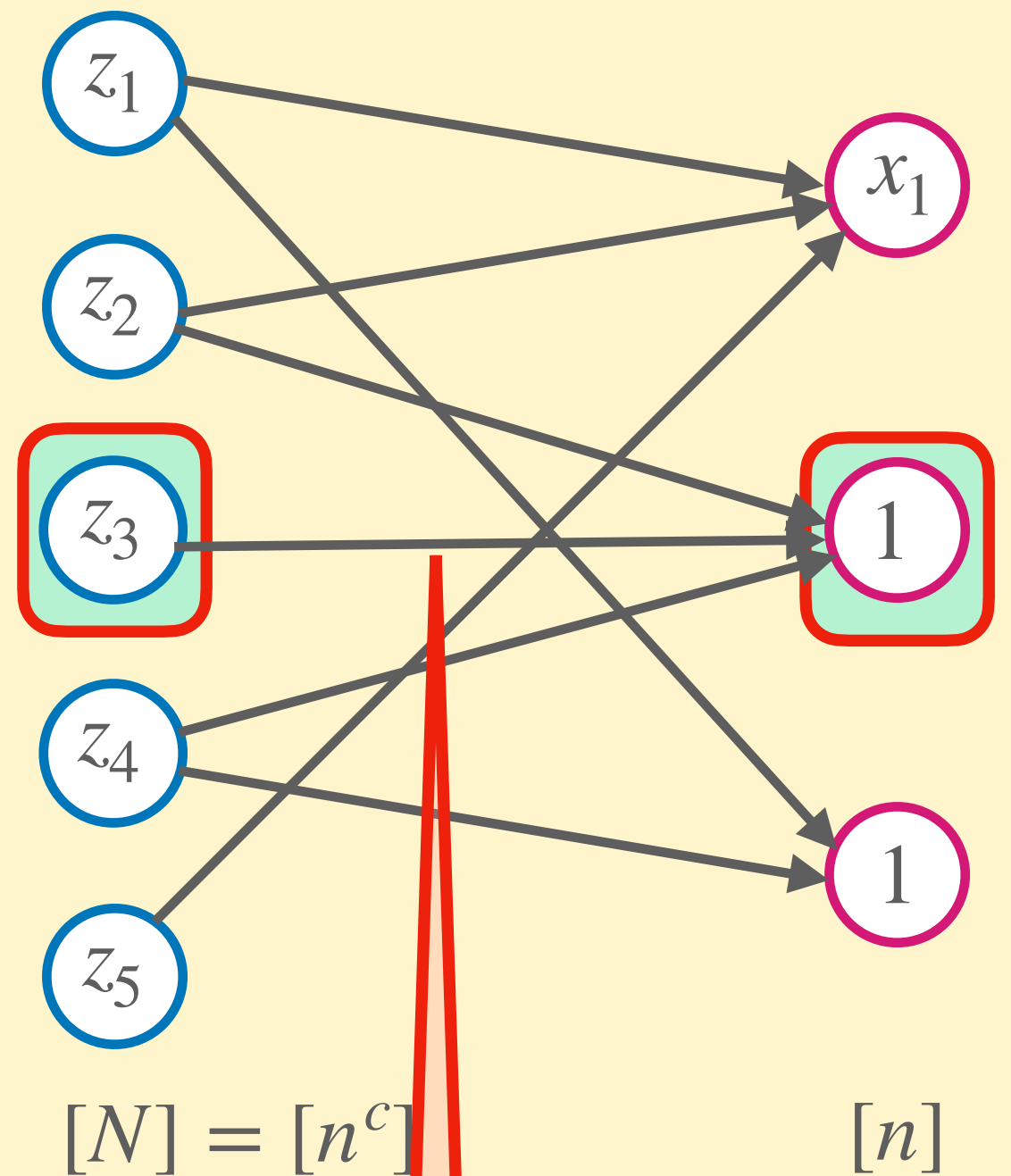
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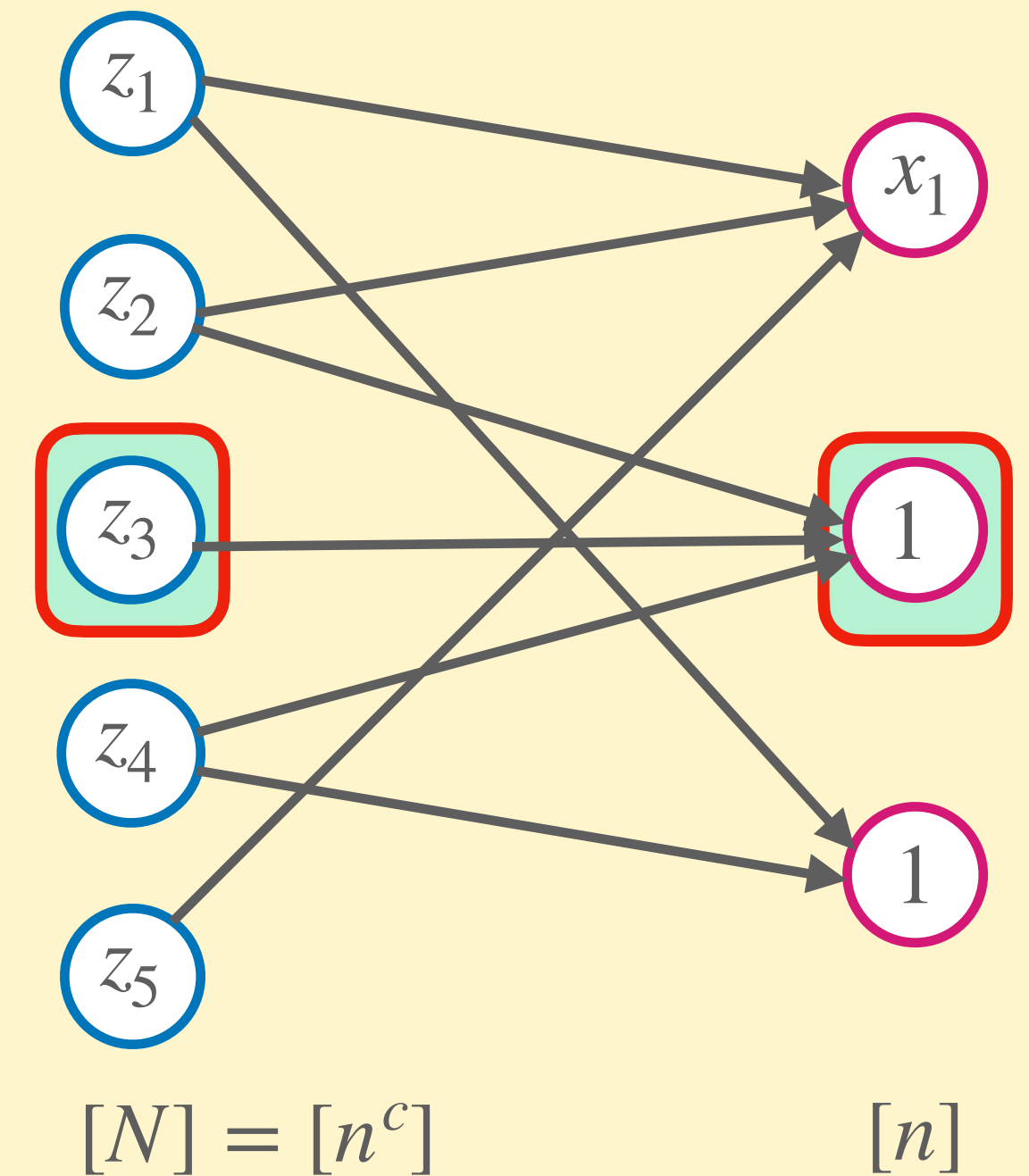
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Problem!

This forces $z_4 = 0$

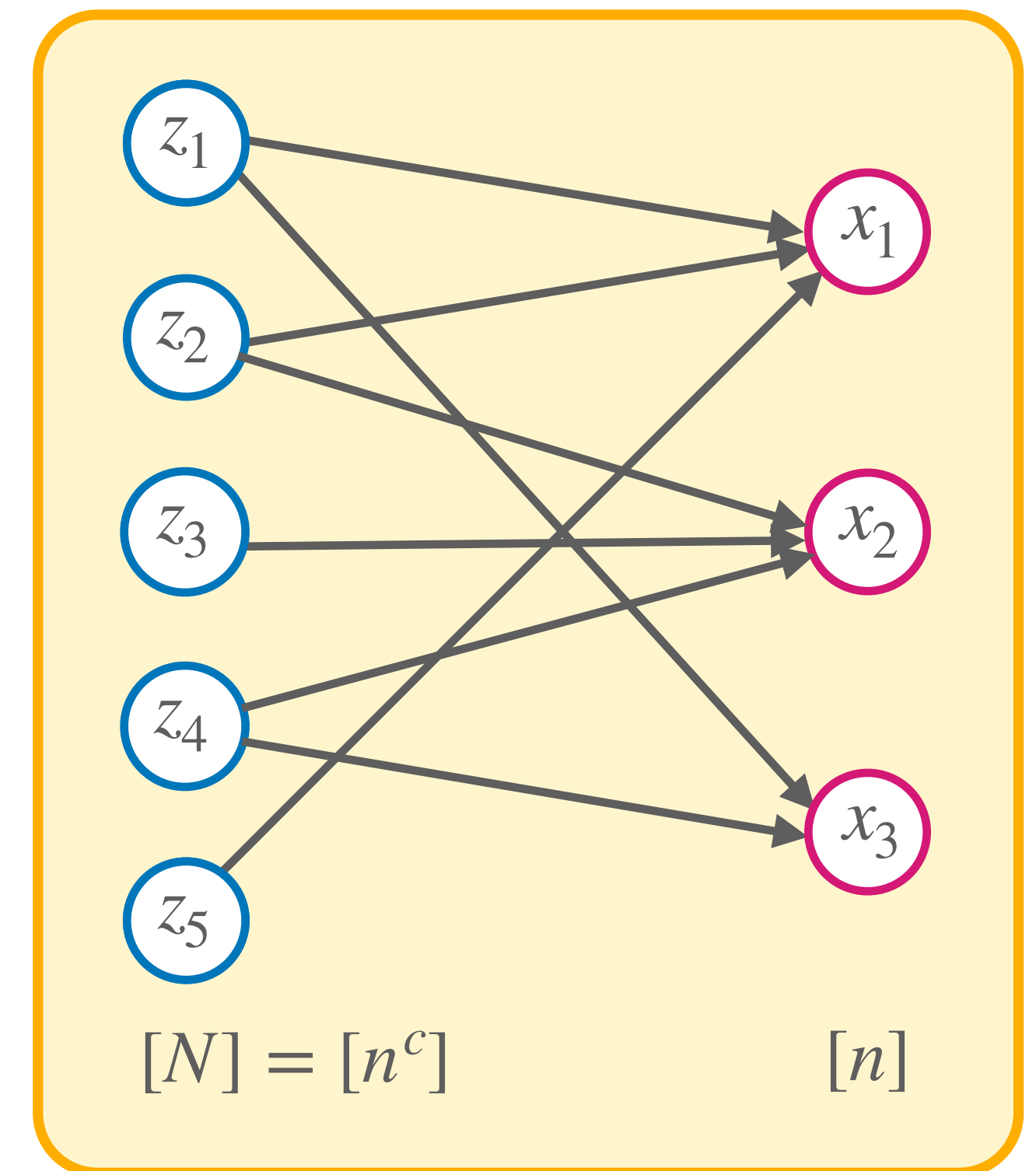
What if A sets $z_4 = 1$?

Proof Overview

Problem: z -variables are correlated

→ Setting one x -variable can force several z -variables

→ Cannot follow A in this case



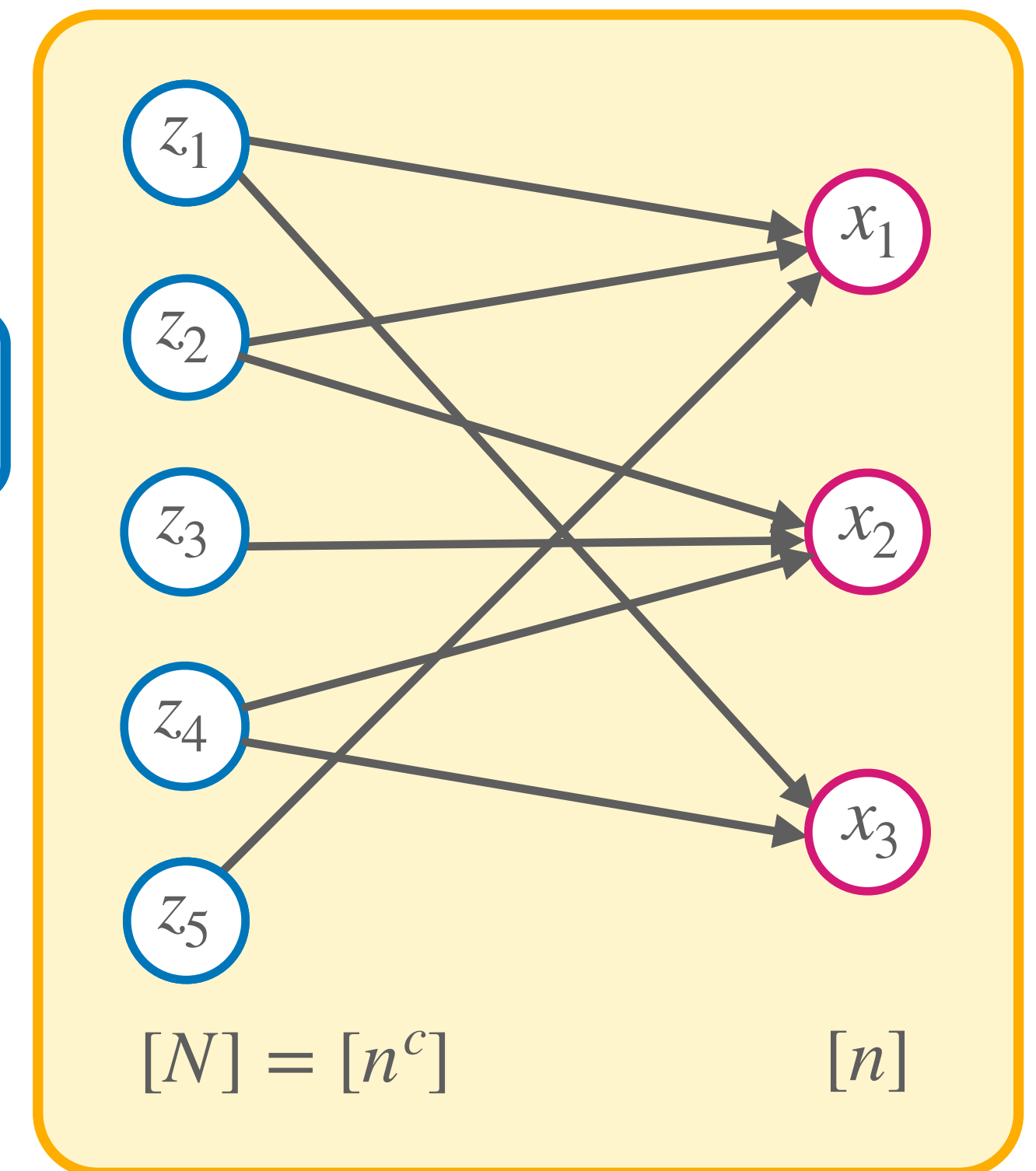
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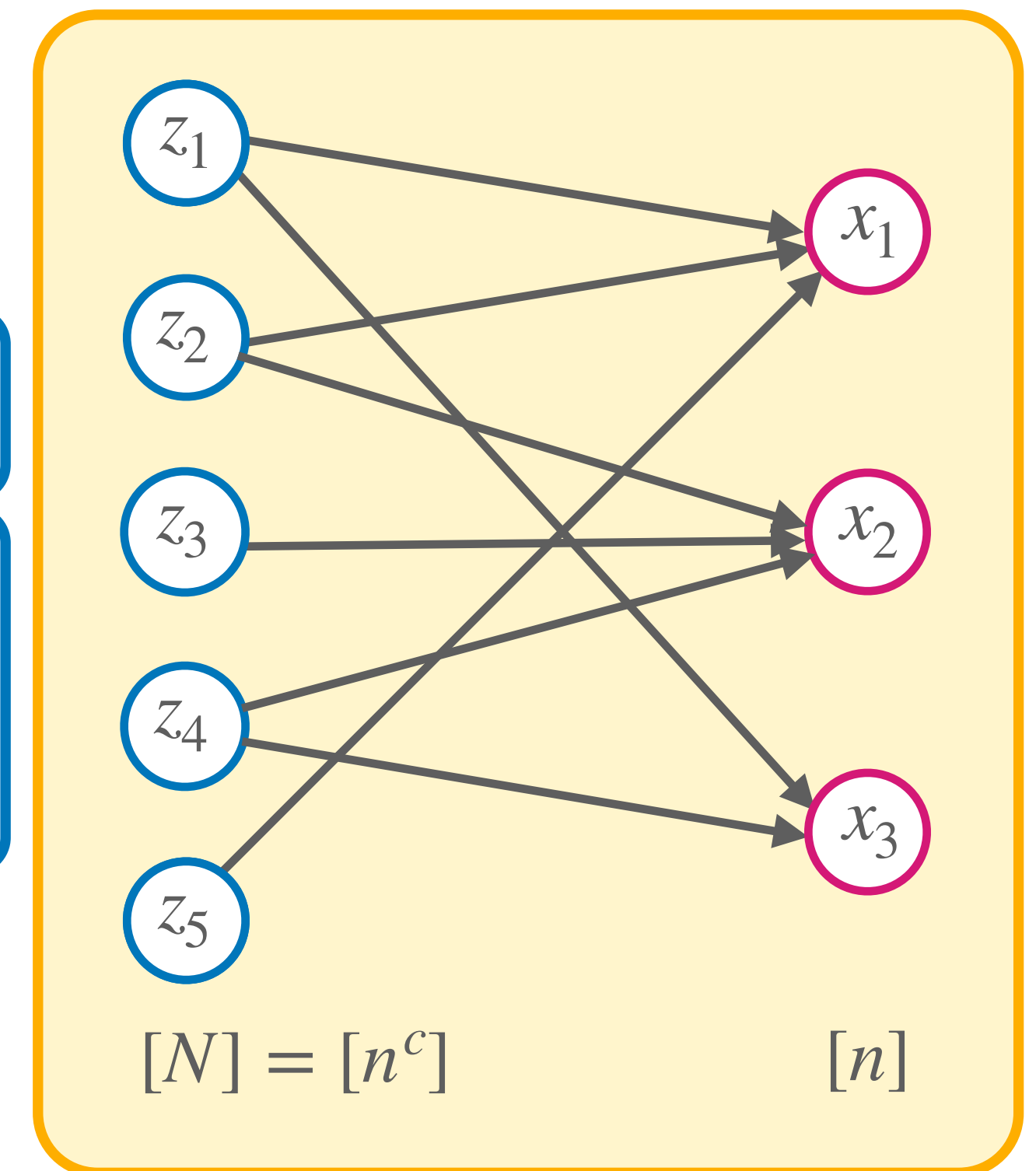
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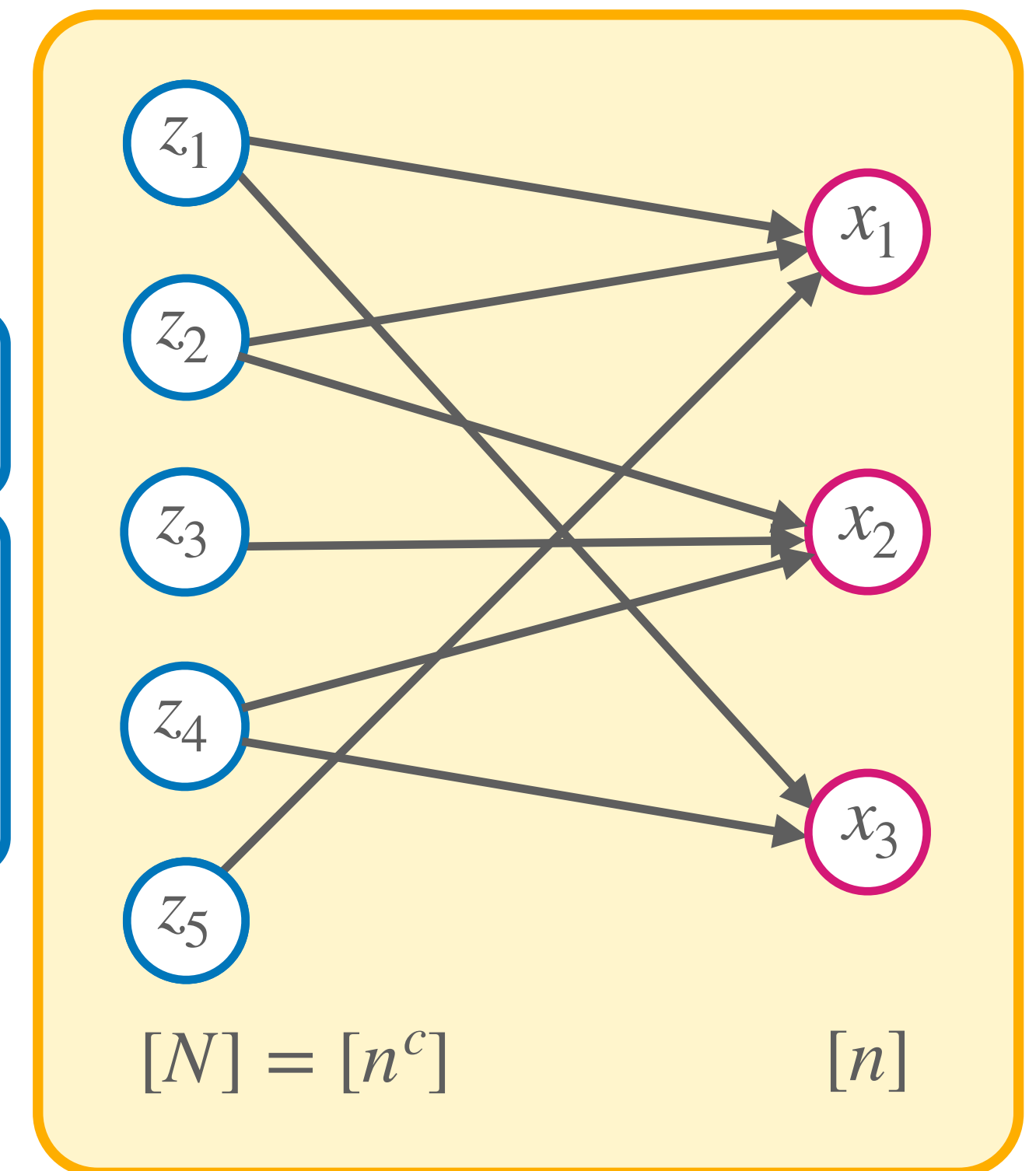
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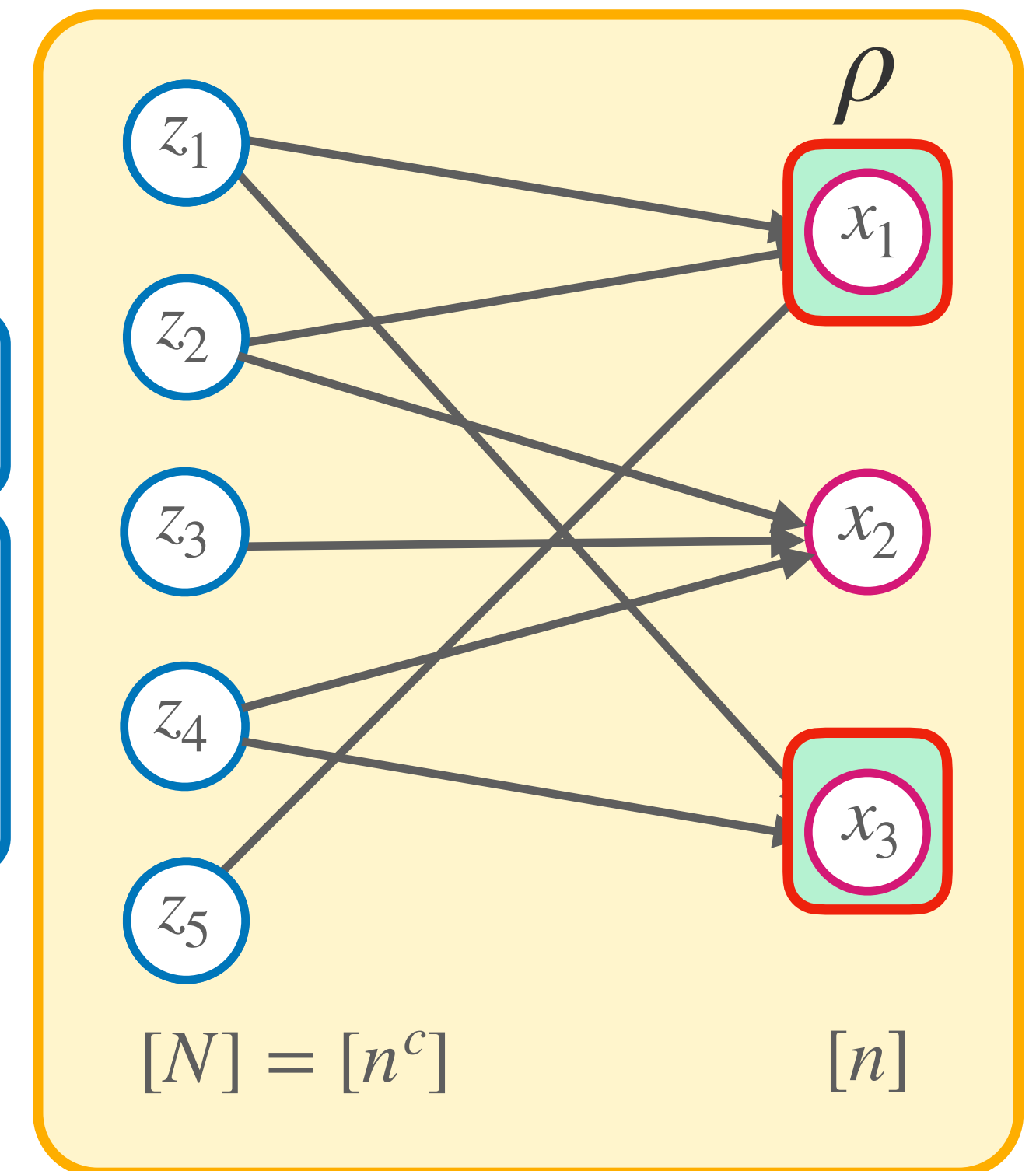
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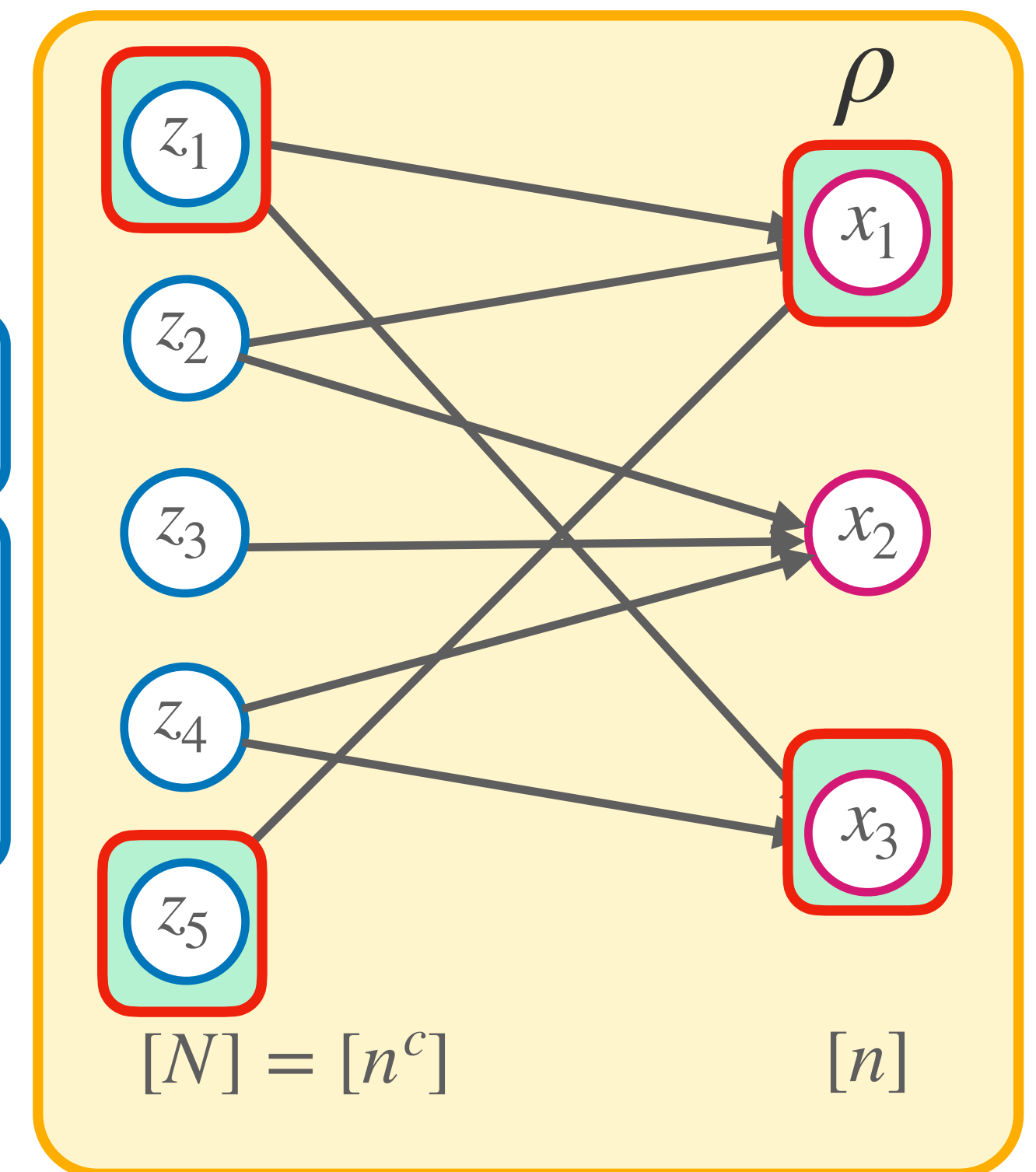
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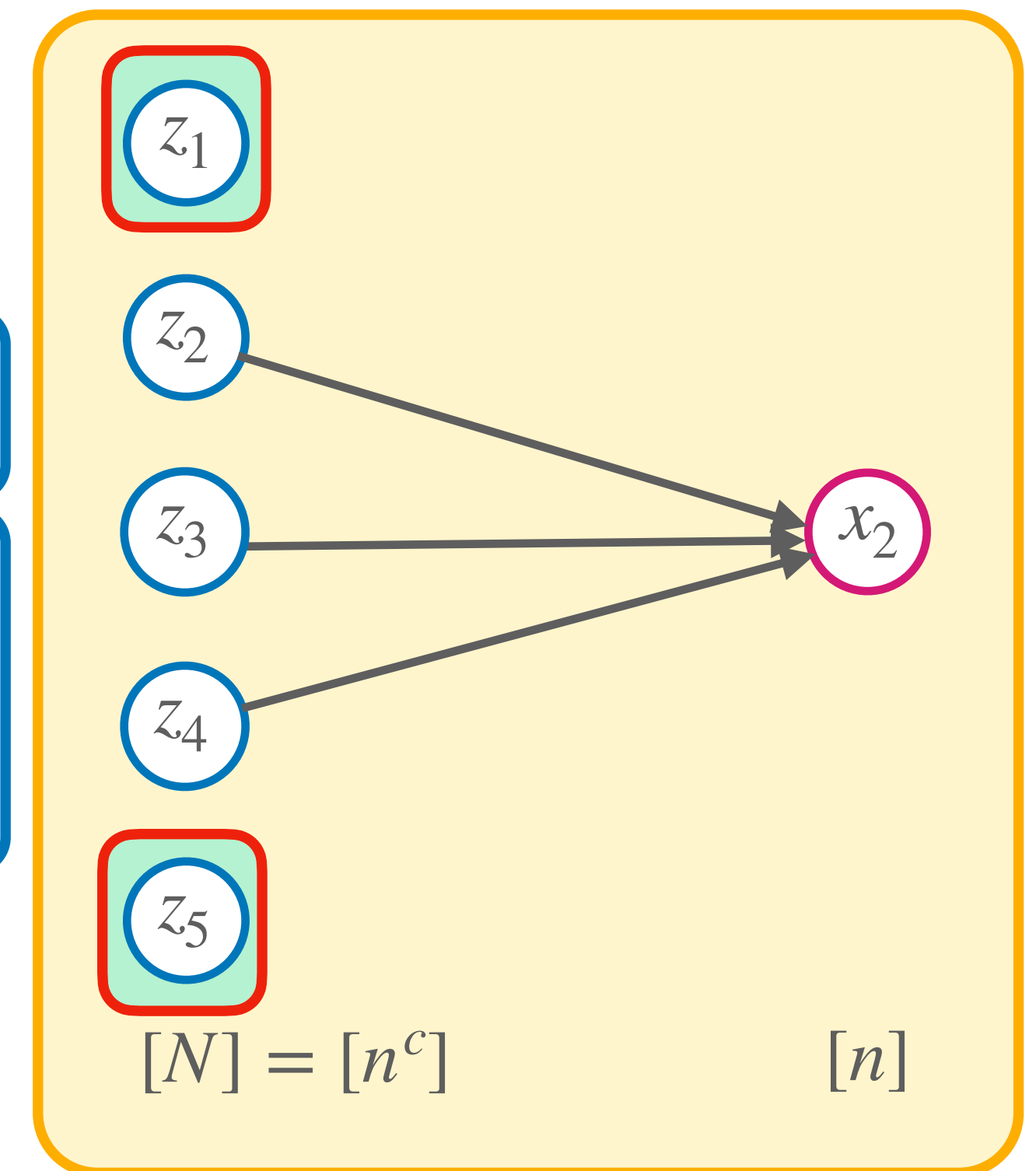
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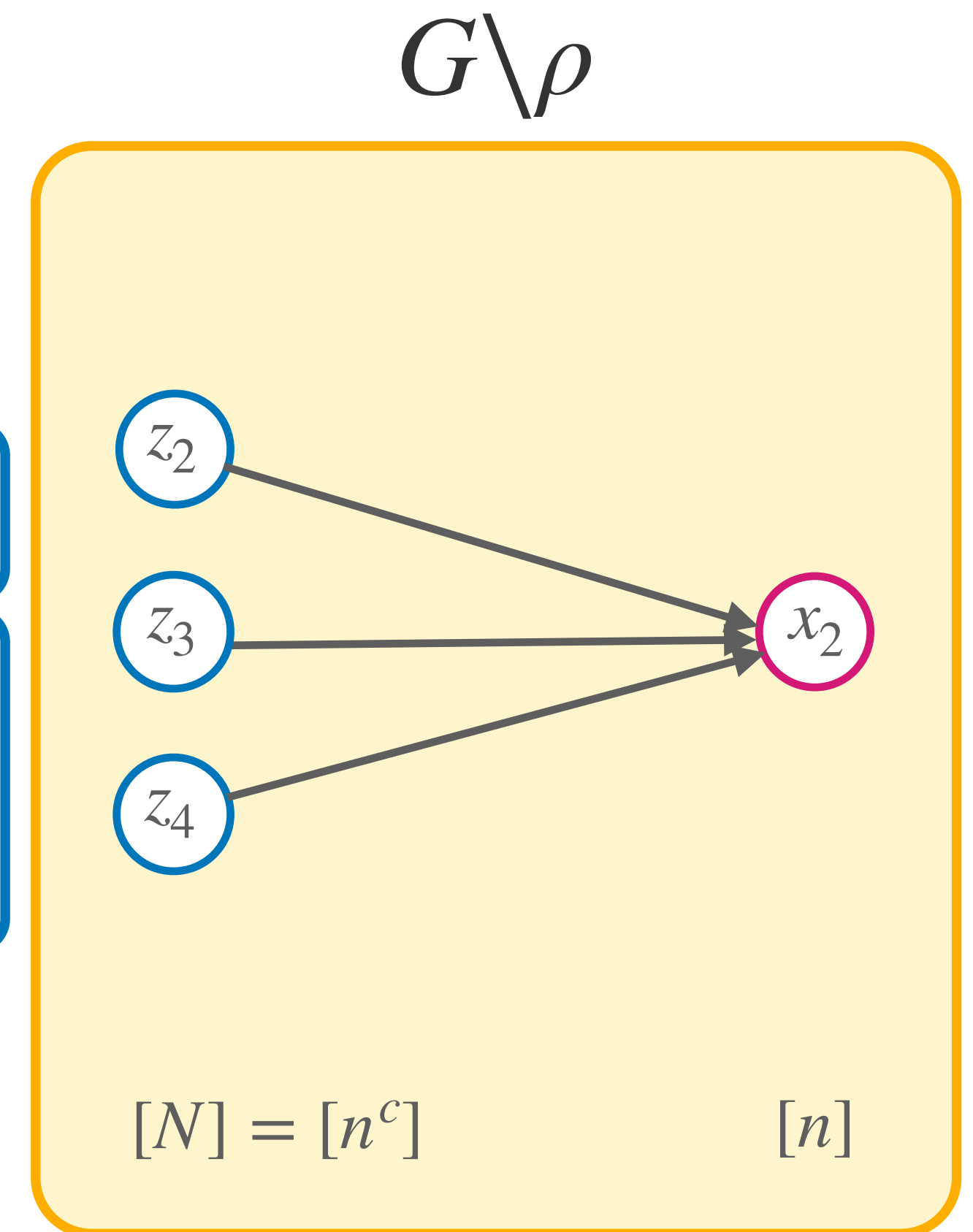
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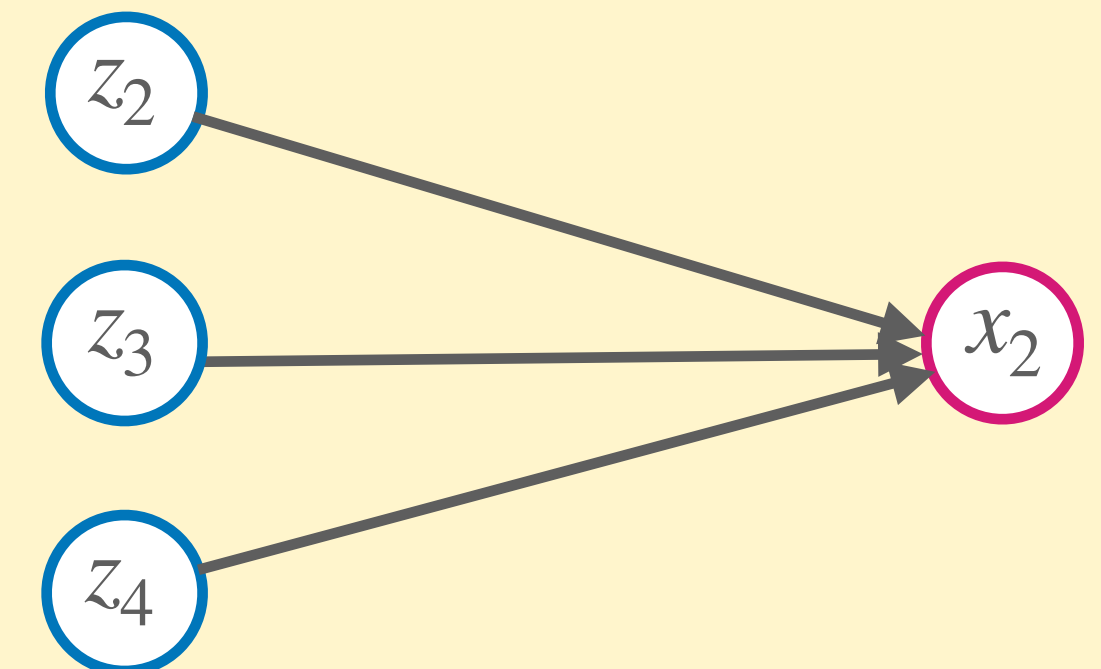
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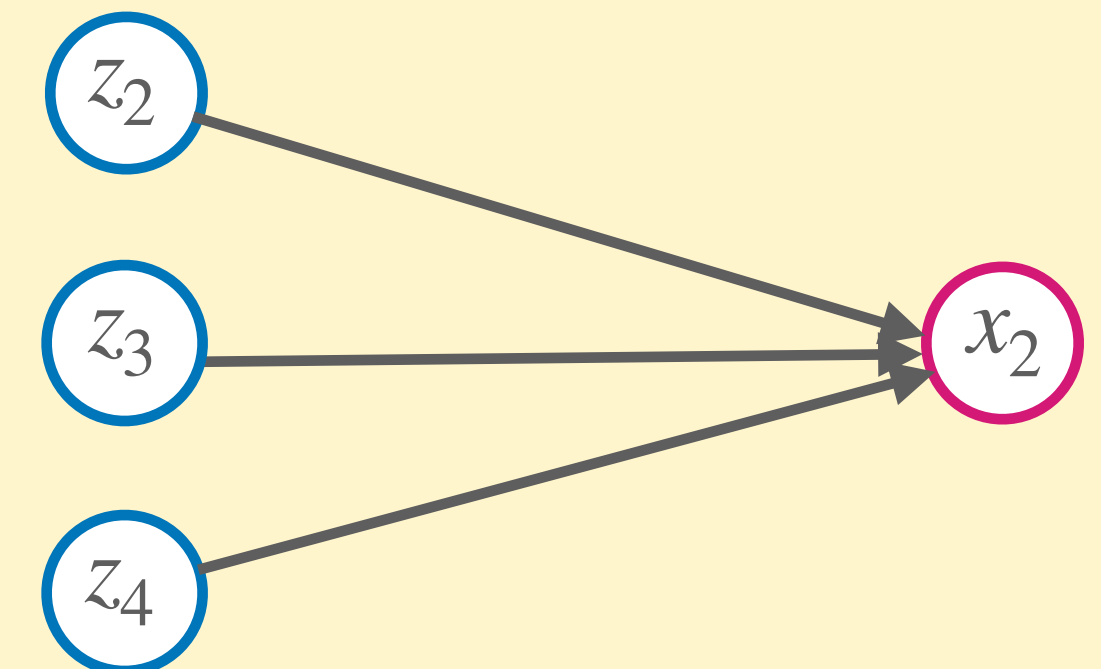
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→ To restore expansion, set the variables of $Cl(\rho') \setminus \text{vars}(\rho')$!

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Each round uses $O(w)$ queries to $A \implies$ we can continue for $\Omega(d/w)$ rounds!

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One approach...

Can the Ben-Sasson Wigderson **size-width** relation be **balanced**?

Problem: Prove or disprove that for any k -CNF F on m clauses
a **size** s Resolution proof \implies a **depth** $O(m)$ and **width** $k + O(\sqrt{n \log s})$ proof

Win-win situation

Positive resolution: counter example to conjecture & surprising depth upper bound

Negative resolution: (conditional) size/depth tradeoff for monotone circuits

Q. Supercritical size/depth tradeoffs for non-monotone circuits?